



मोतीलाल नेहरू राष्ट्रीय प्रौद्योगिकी संस्थान इलाहाबाद  
प्रयागराज -211004 [भारत]  
Motilal Nehru National Institute of Technology Allahabad  
Prayagraj-211004 [India]  
Website: <http://www.mnnit.ac.in>

Minutes of the Sixty-fifth [65<sup>th</sup>] meeting of the Board of Governors held on June 25, 2021 at 03:00 p.m. through on-line mode [on Google Meet] as well as Conference Room, Executive Development Centre, MNNIT Allahabad.

Following members of the Board of Governors attended the meeting.

1. **Prof. Devendra Pratap Singh** Chairperson  
Distinguished Professor, IIT (BHU),  
Varanasi - 221005 (U.P.)  
[attended online]
2. **Prof. Rajeev Tripathi** Member  
Director,  
Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj – 211004
3. **Shri Mrutyunjay Behera** Member  
Economic Adviser (HE)  
Ministry of Education (Shiksha Mantralaya)  
Government of India,  
Shastri Bhawan, New Delhi -110001  
[attended online]
4. **Smt. Darshana M Dabral** Member  
Joint Secretary and Financial Adviser, Integrated Finance Division,  
Ministry of Education, Government of India,  
Shastri Bhawan, New Delhi- 110 001  
[attended online]

5. **Shri Manish Kapoor** Member  
62, Gandhinagar, Sigra  
Varanasi- 221010  
[attended online]
6. **Prof. Rakesh Narain** Member  
Professor, Department of Mechanical Engineering,  
Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj – 211004.
7. **Shri Rajesh Tripathi** Member  
Associate Professor, Department of Computer Science & Engineering  
Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj – 211004
8. **Dr. Sarvesh K Tiwari** Secretary  
Registrar  
Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj – 211004

Prof. Neeraj Misra, Professor, IIT Kanpur [nominee of Director, IIT Kanpur], member, Board of Governors could not attend the meeting due to his preoccupation. Prof. Neeraj Misra was granted leave of absence.

The Chairperson extended a warm welcome to the members and thanked them for taking their time out to attend the meeting.

The Board of Governors was informed about the sad demise of one of the members of the Board of Governors Shri Madan Mohan, ADG (HE), MoE on 26.04.2021. The Board of Governors remembered the contributions made by Late (Shri) Madan Mohan during the meetings of the Board and expressed its condolences to the family of the departed soul.

The Board of Governors extended a warm welcome to Shri Mrutyunjay Behera, Economic Adviser (HE), who is looking after the NITs division as Bureau Head.

The Chairperson also extended a warm welcome to Prof. Rakesh Narain, and Shri Rajesh Tripathi, who were attending the meeting of the Board of Governors for the first time after their nomination to the Board, as nominee of the Senate, in terms of the provisions Section 11[f] of the NITSER Act-2007.



The Board of Governors further acknowledged and appreciated the contributions made by Prof. M. M. Gore and Dr. Vandana Agrawal, during their term as members of the Board of the Institute.

The Board of Governors deliberated on the agenda items, and agenda item-wise proceedings are as under:

**Item No. 65.01** : To confirm the minutes of the Sixty-fourth [64<sup>th</sup>] meeting of the Board of Governors held on 26.03.2021.

**Resolution** : The Board of Governors considered the Institute proposal for confirmation of minutes of its Sixty-fourth [64<sup>th</sup>] meeting held on 26.03.2021 and noted that no comments have been received, from any of the members.

The Board of Governors, considering the above, resolved to confirm the minutes of its Sixty-fourth [64<sup>th</sup>] meeting held on 26.03.2021.

**Item No. 65.02** : To report the action taken on the decisions taken in the Sixty-fourth [64<sup>th</sup>] meeting of the Board of Governors held on 26.03.2021.

**Resolution** : The Board of Governors perused the action taken by the Institute on the decisions taken in its Sixty-fourth [64<sup>th</sup>] meeting held on 26.03.2021.

The Board of Governors resolved that action taken by the Institute on the decisions taken in its Sixty-fourth [64<sup>th</sup>] meeting of the Board of Governors, as circulated along with the agenda papers be noted, and taken on record.

**Item No. 65.03** : Directors' Report.

**Resolution** : The Director presented the report, and apprised the Board of Governors about the activities and developments in the institute, since the last meeting of the Board of Governors.

The Board of Governors expressed pleasure over the progress made by the Institute during the period.

The Board resolved that the presentation of the Director may be taken on record.

**Item No. 65.04** : To note nomination of the members to the Board of Governors of the Institute as nominees of the Senate, as per Section 11 of the NIT Act-2007.



**Resolution** : The Board of Governors noted that the term of appointment of Prof. Manoj Madhava Gore, Professor, Department of Computer Science and Engineering and Dr. Vandana Agrawal, Assistant Professor, Department of Mechanical Engineering, as members of the Board of Governors, in the capacity of nominee of Senate has come to an end on 14.06.2021.

The Board acknowledged the contributions made by Prof. Manoj Madhava Gore and Dr. Vandana Agrawal during their term as members of the Board of Governors of the Institute.

The Board of Governors noted that the Senate in its Seventy-first [71<sup>st</sup>] meeting held on 04.06.2021 vide resolution No. 71.05 has nominated Prof. Rakesh Narain, Professor, Department of Mechanical Engineering and Shri Rajesh Tripathi, Associate Professor, Department of Computer Science & Engineering as nominees of the Senate to the Board of Governors for a period of two years effective from 15.06.2021.

The Board noted the above nomination of Prof. Rakesh Narain and Shri Rajesh Tripathi.

**Item No. 65.05** : To confirm and ratify the decision of the Chairperson, Board of Governors on:

[A] Request made by Dr. Navjot Singh, Assistant Professor Grade-II, Department of Computer Science & Engineering w.e.f. 22.04.2021 [approved by the Chairperson, Board of Governors on 20.04.2021 for accepting his resignation from the services of the Institute.

[B] To consider the nomination of one person, nominee of the Board of Governors from amongst its members to the Finance Committee, in terms of Statute 10 (1) (iv) of the First Statutes of all NITs.

**Resolution** : [A] The Board of Governors noted the approval accorded by the Chairperson, Board of Governors on the requests made by the faculty member Dr. Navjot Singh, Assistant Professor, Grade-II, Department of Computer Science & Engineering for accepting his resignation from the services of the Institute



w.e.f. 22.04.2021 [approved by the Chairperson, Board of Governors on 10.06.2021].

The Board of Governors resolved to confirm and ratify the approval accorded by the Chairperson, Board of Governors, on the above.

- [B] The Board of Governors noted that the term of appointment of Prof. Manoj Madhava Gore, Professor, Department of Computer Science and Engineering as nominee of the Board of Governors to the Finance Committee of the Institute, in terms of Statute 10 (1) (iv) of the First Statutes of all NITs, has come to an end on 14.06.2021.


The Board of Governors also noted the approval dated 10.06.2021 accorded by the Chairperson, Board of Governors regarding nomination of Prof. Rakesh Narain, Professor, Department of Mechanical Engineering as nominee of the Board of Governors from amongst its members to the Finance Committee of the Institute, in terms of Statute 10 (1) (iv) of the First Statutes of all NITs, w. e. f. 15.06.2021.

The Board of Governors resolved to confirm and ratify the approval accorded by the Chairperson, Board of Governors, on the above.

Item No. 65.06 : [A] To note the Memorandum of Understanding [MoU] submitted by the Institute for execution between the Institute and the Ministry of Education for the Financial Year 2021-22.

[B] To note the annual allocation of funds to the Institute communicated by the Ministry of Education vide letter F. No. 36-6/2021-TS.III, dated 14.05.2021 on the subject "Budget Estimates (Annual Allocation) for the Financial Year 2021 – 2022 in respect of 31 NITs and IEST, Shibpur".

Resolution : [A] The Board of Governors considered the Memorandum of Understanding [MoU] submitted by the Institute for execution of the same between the Institute and the Ministry of Education for the Financial Year 2021-22.



The Board of Governors resolved to note the same.

- [B] The Board of Governors considered the annual allocation of funds to the Institute communicated by the Ministry of Education vide letter F. No. 36-2/2021-TS.III, dated 14.05.2021 on the subject "*Budget Estimates (Annual Allocation) for the Financial Year 2021 – 2022 in respect of 31 NITs and IEST, Shibpur*".

The Board of Governors noted that the annual allocation for the Financial Year 2021-22 in respect of MNNIT Allahabad by the Ministry of Education is as under:

OH	OH-31	OH-35	OH-36	Total
Annual Allocation	₹67.15 Cr.	₹18.59 Cr.	₹78.27 Cr.	₹164.01 Cr.

The Board of Governors resolved to note the same.

- Item No. 65.07 : [A] To consider and review the performance of the Institute in terms of the provisions of the Memorandum of Understanding (MoU) executed between the Institute and the Ministry of Education, for :

- a) the Fourth [4<sup>th</sup>] Quarter of the year 2020-21, i.e., from January, 2021 to March, 2021.
- b) the Financial Year 2020-21.

- [B] To consider the details and status of the Audit Paras, Public Grievances and Parliamentary Assurances related to MNNIT Allahabad for the Fourth [4<sup>th</sup>] quarter for the year 2020-21.

- Resolution : [A] The Board of Governors considered the performance of the Institute in terms of the provisions of the Memorandum of Understanding (MoU) executed between the Institute and the Ministry of Education for the Fourth [4<sup>th</sup>] Quarter of the year 2020-21, i.e., from January, 2021 to March, 2021 and Annual performance for the Financial Year 2020-21.



The Board of Governors reviewed the performance of the Institute on the various parameters as per Annexure-I of the MoU for the above-mentioned period. The Board noted the score and overall rating of the institute as under:

Period	Consolidated Score	Rating
Fourth Quarter of 2020-21 [i.e, January, 2021 - March, 2021]	93.87	Excellent
Annual performance for Financial Year 2020-21	93.22	Excellent

The Board expressed its satisfaction on the performance of the Institute for the said periods.

[B] The Board of Governors considered the details/status of the Audit Paras, Public Grievances and Parliamentary Assurances related to MNNIT Allahabad for the Fourth [4<sup>th</sup>] quarter of the year 2020-21 [i.e. from January, 2021 to March, 2021] as under:

Sl. No.	Particular	Received	Disposed	Pending
a.	Audit Para [Outstanding]	Nil	Nil	Nil
b.	Public Grievance	53	53	Nil
c.	Parliamentary Assurance	Nil	Nil	Nil

The Board resolved to note, and take on record the above details.

The Board advised the Institute to once again check the outstanding audit paras, if any, and report the same in the next meeting of the Board of Governors.

Item No. 65.08 : To consider the proposed Memorandum of Understanding (MoU) for exploring Industry academy collaboration in the field of power generation and associated technologies to be executed between MNNIT Allahabad and Meja Urja Ngam Pvt. LTd. (MUNPL).



**Resolution** : The Board of Governors considered the proposal of the Institute alongwith the draft of Memorandum of Understanding (MoU) for exploring Industry Academic collaboration in the field of power generation and associated technologies to be executed between MNNIT Allahabad and Meja Urja Ngam Pvt. LTd. (MUNPL) in the following areas :

1. Technical support and expertise of MNNIT expert team to be taken on Environmental, water, plant technical issues as for switch yard civil structural study etc and up gradation of IT system in MUNPL, including introduction of new technologies like AI, Machine Learning robotics.
2. MUNPL may provide experienced faculties for taking of lectures explaining power plant practical aspects.
3. Plant visit, and other activate of non-commercial nature which may facilitate to study of MNNIT students/rescuers' may be arranged at MUNPL.
4. MUNPL may sponsor technical fest exhibitions/cultural programs, etc at MNNIT & may organize quiz debates or other such programs.
5. Every year Engineers Day Celebration may be taken up jointly or separately.
6. Research & special project, related to power plant issue may be sponsored.
7. Scholarship by MUNPL may be sponsored up on merit cum means basis may be sponsored excellent academic performers.
8. Machine learning and AI base project for MUNPL may be sponsored.
9. Quality testing may be carried out at MNNIT.
10. Any other programme or partnership may be taken up jointly by mutual consensus of CEO (MUNPL) & Director (MNNIT).

One of the members of the Board of Governors observed that the Institute may confirm that the organization with whom the MoU is proposed has not been blacklisted and none of the activities are detrimental to the interests of the Institute.

The Board of Governors, subject to the above observations, resolved to accept and approve the proposal of the Institute for entering into Memorandum of Understanding





(MoUs) for exploring industry academy collaboration in the field of power generation and associated technologies to be executed between MNNIT Allahabad and Meja Urja Ngam Pvt. LTd. (MUNPL).

A copy of the draft MoU as approved by the Board of Governors is at ANNEXURE – I.

**Item No. 65.09** : To consider the recommendations of the Seventieth [70<sup>th</sup>] meeting of Senate held on 23.03.2021 in the following matters.

[A] Revised rules and guidelines for institution of sponsored medals/ scholarship.

[B] Starting a new Gold Medal sponsored by Mr. Amit Shukla to be awarded to overall first position holder in Electrical Engineering (final year) students of the B.Tech. programme of the Institute to be known as "*Prof. Satya Sheel Gold Medal*".

**Resolution** : [A] The Board of Governors considered the recommendations of the Seventieth [70<sup>th</sup>] meeting of Senate held on 23.03.2021 regarding revised rules and guidelines for institution of sponsored medals/ scholarship.

The Board of Governors after detailed deliberations resolved to accept and approved the recommendations of the Senate regarding revised rules and guidelines for institution of sponsored medals/ scholarship.

A copy of the revised rules and guidelines as approved by the Board of Governors is at ANNEXURE.– II.

[B] The Board of Governors considered the recommendations of the Seventieth [70<sup>th</sup>] meeting of Senate held on 23.03.2021 for starting a Gold Medal sponsored by Mr. Amit Shukla to be awarded to overall first position holder in Electrical Engineering (final year) students of the B.Tech programme of the Institute to be known as "*Prof. Satya Sheel Gold Medal*".



The Board of Governors, considering the details presented before it, resolved to approve the same.

**Item No. 65.10** : To consider and approve the recommendations of the Departmental Promotion Committee for promotion of Non-Faculty members of the Institute proposed to be held during June 18-23, 2021.

**Resolution** : The Board of Governors considered the recommendations of the meetings of the Departmental Promotion Committees held on June 22, 2021 for promotion of Non-Faculty members of the Institute.

The Board was apprised that the Institute has followed the guidelines notified by the Ministry of Education vide letter No. F.35-5/2018-TS.III, dated February 20, 2019 & April 4, 2019 and letter F. No. 2-3/2020-TS.III, dated 29.10.2020. The Board was further apprised that in accordance with the advice of the Ministry of Education vide its letter dated 29.10.2020, the Institute has conducted interview for all the positions as per provisions of the Statute 23(5)(d) and Statute 23 (12). The Board was also apprised of the details of the procedures/ steps followed by the Institute for conducting DPC.

The Board was informed that the Institute before considering the cases of eligible staff members by the respective DPCs, vigilance clearance in respect of each of them has been taken from the CVO of the Institute and the DPC has given due consideration to the ongoing inquires/ penalty imposed while considering the cases of such members of the staff in terms of DoPT O.M.s No. 22011/4/2007-Estt. (D) dated 21.11.2016 and No. 22011/4/2007- Estt. (D) dated 28.04.2014, 22011/4/91-Estt. (A) dated 14.09.1992.

The Board was also informed that the Institute has prepared reservation rosters for each of the promotional post and due procedures as detailed in Government of India guidelines as well as Institute Act and Statutes has been followed, while considering the promotion process of the non-faculty staff.

The Board was further apprised that Selection Committees of different posts for different departments/ sections were constituted as per Statutes 23 (5)(d).



The Board considering the above details presented before it, resolved to approve the recommendations of the Departmental Promotion Committee for promotion of staff members on various positions in different departments/ sections.

The Board further resolved that the effective date of grant of promotion will be the date of approval of the Board or the date of completion of eligibility requirements, whichever is later.

The Board also resolved to confirm this resolution and allowed the Institute to issue letters accordingly.

**Item No. 65.11** : To note the status/ updates in the matter of redeployment/ adjustment of NTMIS Staffs, in light of the Contempt Petition No. 28 of 2020 at the Hon'ble Central Administrative Tribunal, Allahabad Bench.

**Resolution** : The Board of Governors noted the status/ updates in the matter of redeployment/ adjustment of NTMIS Staffs, in light of the Contempt Petition No. 28 of 2020 at the Hon'ble Central Administrative Tribunal, Allahabad Bench.

The Board of Governors was apprised that the Hon'ble Central Administrative Tribunal, Allahabad Bench in the Contempt Petition No. 28 of 2020 filed by Shri Manish Singh & others vs. Union of India & others, in its order dated 04.11.2020, 11.01.2021, 19.01.2021, 25.01.2021, 18.02.2021, 03.03.2021, 16.03.2021, 22.03.2021, 08.04.2021, and 09.04.2021 has adjourned the case. Further, the case was listed on 24.04.2021 but the case could not be taken up so far due to Covid-19 pandemic second wave. The next date of hearing on the case is on 13.07.2021.

The Board considering the above resolved to wait for the decision of the Hon'ble Central Administrative Tribunal, Allahabad Bench in the Contempt Petition No. 28 of 2020 filed by Shri Manish Singh & others vs. Union of India & others.

**Item No. 65.12** : To note the status of faculty recruitment for Assistant Professor [Asst. Prof. (Grade-II)-Academic Level 10 /11 (on contract) and Asst. Prof. (Grade-I)-Academic Level 12] in various Departments of Institute advertised vide



advertisement No.06/2019, Dated August 28, 2019 and Advertisement No. 01/2021, Dated February 17, 2021.

**Resolution** : The Board of Governors was apprised with the current status of faculty recruitment for Assistant Professor [Asst. Prof. (Grade-II) – Academic Level 10 /11 (on contract) and Asst. Prof. (Grade-I) – Academic Level 12] advertised vide advertisement No.06/2019, Dated August 28, 2019 and Advertisement No. 01/2021, Dated February 17, 2021.

The Board noted the current status and also noted that the term of appointment of the current Director, Prof. Rajeev Tripathi is upto October 06, 2021.

The Board also took cognizance of the Ministry of Education guidelines advising the Heads of Autonomous Organisations to refrain themselves from making selections atleast two before the expiry of their term or retirement.

The Board, in consideration of the above, advised the institute to complete the screening process of the applications already received, so as to enable the new Director to complete the process of the recruitment immediately after his joining.

**Confidential**

# Confidential

Item No. 65.14 : [A] To note the minutes of the Sixtieth [60<sup>th</sup>] meeting of the Finance Committee scheduled to be held on 25.06.2021.

[B] To consider the following recommendations of the Sixtieth [60<sup>th</sup>] meeting of the Finance Committee scheduled to be held on 25.06.2021 for approval:

- (i) Recommendations of the Thirty-fourth [34<sup>th</sup>] meeting of the Building & Works Committee [B&WC] held on 15.02.2021 on the proposal of proposed additional works in the already awarded ongoing work of Repair of Drain/laying of storm Sewer line and provision of footpath in the Institute Campus and repair of roads in the Hostel Campus of the Institute.

Resolution : [A] The Board of Governors considered the minutes of the Sixtieth [60<sup>th</sup>] meeting of the Finance Committee held on 25.06.2021.

A copy of the minutes of the Sixtieth [60<sup>th</sup>] meeting of the Finance Committee is at ANNEXURE - III.

The Board of Governors resolved to note and take on record the minutes of the Sixtieth [60<sup>th</sup>] meeting of the Finance Committee held on 25.06.2021.

[B] [i] The Board of Governors noted the recommendations of the Sixtieth [60<sup>th</sup>] Finance Committee vide resolution on item No. 60.04 on the recommendations Thirty-fourth [34<sup>th</sup>] meeting of the Building & Works




Committee [B&WC] held on 15.02.2021 on the proposed additional works in the already awarded ongoing work of Repair of Drain/laying of storm Sewer line and provision of footpath in the Institute Campus and repair of roads in the Hostel Campus of the Institute. The Board noted that the Finance Committee has resolved accepted the proposal.


The Board resolved to approve the above recommendations of the Finance Committee in the matter.

**Item No. 67.15** : To consider the request made by Prof. G. K. Mehrotra, Professor, Department of Chemistry for voluntary retirement from the services of the Institute.

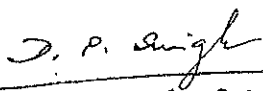
**Resolution** : The Board of Governors was informed that Prof. G. K. Mehrotra, Professor, Department of Chemistry vide his letter dated 21.06.2021 has request<sup>ed</sup> to withdraw his request for voluntary retirement from the services of the Institute, and accordingly the item was withdrawn.

The meeting ended with thanks to the Chair.

  
27/06/2021  
[Sarvesh K Tiwari]  
Registrar/ Secretary

  
27/06/21  
[Rajeev Tripathi]  
Director, MNNIT Allahabad

Approved

  
27.06.2021  
[Devendra Pratap Singh]  
Chairperson, Board of Governors  
MNNIT Allahabad

This Memorandum of Understanding (hereinafter referred to as "MOU") is made on \_\_\_day of \_\_\_(month)/(year)\_\_\_ between the Meja Urja Nigam (Pvt.) Limited – [A Joint Venture Company of NTPC Limited and Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited (UPRVUNL)] registered under the Companies Act, 1956 and having its Registered Office at NTPC Bhawan, SCOPE Complex, Core-7, Institutional Area, Lodhi Road, New Delhi-110003 (hereunder referred to as MUNPL which expression shall include its successors, executors and permitted assigns) of the other part,

AND

Motilal Nehru National Institute of Technology Allahabad, Prayagraj (formerly Motilal Nehru Regional Engineering College, Allahabad) established by the Act of Parliament "National Institute of Technology Act, 2007 hereinafter referred to as "MNNIT" and having its Registered Office at Motilal Nehru National Institute of Technology Allahabad, Prayagraj --211004 of the other part.

WHEREAS MUNPL is committed towards pursuit of excellence in the field of reliable and economic power generation including exploring new horizons in renewable and emerging technologies. It is devoted towards forging strong and mutually beneficial relationship between the industry and academia, with focus on driving technological advancement in the power sector, providing practical exposure and vocational avenues to students and research scholars and relentless efforts for the development of skill and knowledge of its workforce.

AND WHEREAS the Motilal Nehru National Institute of Technology Allahabad, Prayagraj is one of the oldest engineering colleges in India, now become an Institute of National Importance established by the National Institute of Technology Act, 2007 is interested to hereby explore the possibilities of Academy-Industry collaboration through its knowledge base pool of students, research scholars and professors.

NOW THEREFORE THIS MEMORANDUM OF UNDERSTANDING ("MOU") WITNESSETH AS FOLLOWS

In consideration of the aforesaid objective, the parties hereto agree to sign these presents on mutually agreed terms and conditions contained hereinafter:

#### SCOPE OF MOU ACTIVITIES

##### Areas of Cooperation

The present MOU between MUNPL and MNNIT shall broadly cover (but not limited to) mutual collaboration in the following areas:

1. Technical support and expertise of MNNIT experts may be taken on environmental, water, plant technical issues as well as for switch-yard civil structural study, stability studies, etc.

C/06


2. The parties shall also collaborate for up-gradation of IT systems in MUNPL, including introduction of new technologies like Data Analytics, Artificial Intelligence (AI), Machine Learning and Robotics with emphasis on improvement in Power Plant Technologies and facilitating better decision-making.
3. MUNPL and MNNIT shall also collaborate for facilitating joint thesis/ research projects and special projects on power plant problems, energy and allied technologies including renewables to be done by B.Tech./ MTech. students and research scholars. The successful projects shall be considered for suitable reward by MUNPL.
4. MUNPL shall provide experts with industry experience as faculties for taking lectures explaining power plant practical aspects and best industry practices for the benefit of MNNIT students.
5. The parties shall explore opportunities for development of courses and curriculums customized to industry requirements, especially in the field of Power Plant Technologies.
6. Plant visit, vocational training programmes and other activities of non-commercial nature which will facilitate study of MNNIT students/researchers shall be arranged at MUNPL.
7. The parties shall pursue collaboration for development of new products and technologies, joint publications and patents/intellectual property rights in power plant technologies and allied areas.
8. MUNPL may provide sponsorship for technical fests, exhibitions/cultural programs, sports events, institute journals and souvenirs, etc. at MNNIT & may organize quizzes, debates or other such programs for the academic and co-curricular development of the students of MNNIT.
9. Every year, celebration of Engineers Day, National Technology Day, World Environment Day, etc. may be taken up by the parties jointly or severally.
10. Scholarship by MUNPL may be sponsored on merit-cum-means basis by MUNPL for excellent academic and research performers of MNNIT.
11. Quality testing may be carried out by MNNIT at or on behalf of MUNPL as per requirement.
12. The parties shall also pursue collaborative ventures in grassroots technologies targeted at the development of people and areas in the vicinity of MUNPL units through the Community Development (CD) and Corporate Social Responsibility (CSR) programs of MUNPL.
13. Any other programme or partnership may be taken up jointly by mutual consensus of CEO (MUNPL) & Director (MNNIT).

#### Professional fees and charges

MUNPL agrees to pay professional charges to MNNIT for various expert services availed as per rates/charges as may be mutually agreed, plus taxes as applicable.

#### Commencement, Renewal, Termination & Amendment

This Memorandum shall be valid for an initial period of 3 (three) years commencing from the date of execution and may be renewed thereafter with mutual consent. The MoU may be automatically extended



09/01/2021



for the next 3 (three) year period unless either party notifies the other of its intention to terminate the MOU. Notification for termination at any point of time shall be made in writing at least three one (01) month in advance. The MoU should be modified or amended only by written agreement between the Parties.

#### **Non-Exclusivity**

Both parties are at liberty to explore and identify new areas of co-operation with each other or with third parties whether jointly or severally so long as such cooperation does not derogate from the provisions of this Memorandum.

#### **Disclaimer**

Each party will be solely responsible for its own acts and omissions (and the acts and omissions of its employees and other agents) and neither party will have the authority nor will purport to act for, or legally bind, the other party in this project with a third party, except as may be agreed in writing by the parties.

#### **CONFIDENTIALITY**

- 1) The parties to the extent of their respective rights to do so, shall exchange such technical information and data as is reasonably required of each party to perform its responsibilities under this MoU. Each party agrees to keep in confidence and to use the same degree of care as it uses with respect to its own proprietary data to prevent the disclosure to third parties of all technical information, data and confidential business information (hereinafter called "Data").
- 2) Data exchanged by the parties under this MoU shall not be used for any other purpose except the purpose set out under this MoU.
- 3) Exchange, use and maintaining confidentiality of data shall be mutually discussed and agreed to by the parties.
- 4) The preceding provisions of confidentiality and restriction of use of data shall not apply to:
  - a) Information in the public domain or information which subsequently enters in to public domain without committing breach of this party.
  - b) Information in possession of the party at the time of disclosure and which was not acquired, directly or indirectly from the other party.
  - c) Information which a party is required to disclose under law, rules or regulations to any judicial or other authorities.
  - d) Consultants/advisors (from government or non-government agencies from India and abroad), provided they in turn sign a similar undertaking of confidentiality.

#### **AMENDMENT**

No amendment of any provision of this MOU shall in any event be effective unless the same has been made in writing by both the parties.



## ASSIGNMENT

Neither party shall have the right to assign this MOU without the other party's prior written consent provided, however, that each of the party hitherto reserves the right in its sole discretion to assign its right and obligations under this MOU to a wholly-owned affiliate or subsidiary provided that assignee shall furnish an undertaking agreeing to be bound by the terms of the MOU.

## NOTICES

Any notice to be given under this MOU shall be in writing and shall be deemed to have been duly and properly served upon the Parties hereto if delivered by hand/ Email / WhatsApp / Courier / Registered Mail, addressed to the Parties herein at the following addresses or such changed addresses as will be duly notified by the Parties to each other's from time to time: -

## ADDRESS:

MUNPL: Head of HR, Meja Urja Nigam (P) Limited, P.O. Kohdar, Meja, Prayagraj - 212304

MNNIT: The Director, Motilal Nehru National Institute of Technology, Prayagraj - 211004

## EFFECTIVE DATE & VALIDITY

This MOU shall come into force from the date of its signing for all purposes and intents and shall remain in force for a period of 03 years. The same can be extended with mutual consent.

## TERMINATION OF MOU

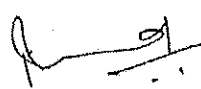
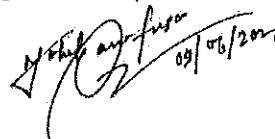
Any party can terminate this MOU with an advance written intimation the other party with a notice period of 01 (one) month.

## FORCE MAJEURE

Neither Party shall be held responsible for non-fulfillment of their respective obligations under this MOU due to the occurrence of one or more of the force majeure events such as but not limited to Acts of God, war, flood, earthquakes, strikes, lockouts, change in law, epidemics, riots, civil commotion, etc. provided on the occurrence and cessation of any such events the affected party thereby shall give a notice in writing to the other parties within 15 days of such occurrence or cessation. Parties shall then mutually work out the future course of action.

## SETTLEMENT OF DISPUTES

In the event of any differences or disputes arising out of the interpretation or application of the provisions of this MOU, the parties shall resolve such differences or disputes in a spirit of mutual understanding and co-operation. In the event of any dispute or difference relating to the interpretation and application of the

provisions of this between MUNPL and MNNIT not resolved through mutual understanding and co-operation, such dispute or difference shall be taken up by either party for resolution as per laid down procedure under the Arbitration and Conciliation Act.

**JURISDICTION OF COURT**

In the event of any further dispute after conciliation/ arbitration relating to or arising out of this MOU between the parties, the same shall be settled by the Competent Court of Prayagraj.

**ENTIRE UNDERSTANDING**

This MOU contains the entire understanding between the parties and supersedes all prior or contemporaneous oral or written agreements, commitments understanding or communication with respect to the subject matter thereof.

In WITNESS WHEREOF, the Parties have executed this MOU by their duly authorized representative as on the date first written above.

For and on behalf of MUNPL

For and on behalf of MNNIT

Signature:

Signature:

Name:

Name:

Designation:

Designation:

In the presence of

In the presence of

Signature:

Signature:

Name:

Name:

Designation:

Designation:



मोतीलाल नेहरू राष्ट्रीय प्रौद्योगिकी संस्थान इलाहाबाद  
 प्रयागराज- 211004 (भारत)  
 Motilal Nehru National Institute of Technology Allahabad  
 Prayagraj- 211004 (India)

Guidelines for institution of new Medals/Scholarship/Awards/Prizes etc.

- 1) Objective of institution of sponsored scholarships, prizes and medals to students
  - (i) In order to promote an outstanding or excellent academic performance, excellence in a given course of the program, excellence in research, social service, games and sports, cultural activities, participation in students counseling, participation and constructive leadership in gymkhana involving all round overall performance.
  - (ii) In order to financially assist meritorious students coming from weaker economic background and girl students.
  - (iii) Financial assistance for encouraging innovation and entrepreneurship among the students.
- 2) Name for Scholarship/Medal/Awards and Prizes.  
 The Scholarship/Medal/Awards and Prizes shall be in the name of renowned personality/Institution/person related to the Institute with significant contribution or their beloved in the field of Engineering/Technology/Sciences/Management and have/had added glory to the Institute / Nation.
- 3) Method of endowment/donation and disbursement:  
 The scholarship/prize/award/medal will be given to the student(s) from the principal amount deposited and interest earned from the principal amount. The minimum required principal amount is given in point number 5.
- 4) Duration: The time period for Institution of Scholarship/Medal/Awards and Prizes may be
  - (a) It may be one time or more than one times for the case of Awards and Prizes
  - (b) For scholarships/medals, the duration for the purpose of calculation of endowment shall be normally ten years. The scholarships/medals will continue till the fund (principal + interest earned) becomes insufficient for disbursement of minimum amount of Scholarship /Medal / Awards and Prizes in the coming year.
- 5) Minimum endowment
  - A. Sponsored Scholarship:  
 The minimum scholarship per student per year may be the tuition fee/mess fee/a fixed amount not less than Rs.20,000.00 per student per year (This amount is subject to

*[Signature]*  
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14.1.2021

revision by the committee time to time keeping view of the inflation rate after every five years). Accordingly, the minimum required endowment shall be as per the proposed minimum scholarship and duration as per point 4.

**B. Sponsored Medal:**

To give a medal for a period of ten years a minimum endowment of Rs. 1.00 lakh shall be required. For more duration, the amount may be calculated on pro-rata basis.

The weight & size of the medal to be instituted [40] grams of silver (purity at least 99%) with gold plating shall be same as that of other Institute medals. Sponsoring authority may not be allowed for suggesting any change in the medal to institute.

**C. Sponsored Awards and Prizes**

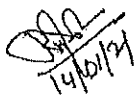
- This will be either cash and/or means.
- The minimum amount for award/ prizes shall be Rs. 5000/- per student. Accordingly, the endowment will depend on the proposed amount and duration selected as per point 4.
- This will be applicable for academic activities/ Extracurricular activities such as games/cultural/literary events etc.

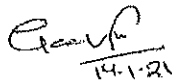
**6) Eligibility and Conditions:**

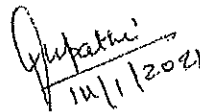
Following will be the conditions for institution of new scholarship etc.:

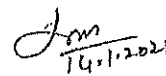
- (a) Academic requirement for award of new medals/scholarship/awards/prizes etc. will be:
- (i) Passed all previous semester examinations in first attempt.
  - (ii) CPI not less than 6.5 and 7.5 for U.G. and P.G. students respectively.
  - (iii) Means of a student may be one of the criteria.
  - (iv) The sponsor may impose any condition over and above given on Point Nos. 6(i to iii) and 6(iv) above. He/she may give conditions related to branch/ number of beneficiary students/ amount.
  - (v) No disciplinary action on record
- (b) In the event of a tie the committee as per point 9 will resolve the same.
- (c) A recipient of a scholarship/medal/award/prize under these rules is expected to maintain, besides satisfactory academic progress, regularity in attendance and good conduct, behaviour and discipline in the Department and in the Institute/Hostel. In the event of a recipient being found to be involved in any act of misconduct, misbehavior, indiscipline or adopting unfair means in an examination, the award or

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prize is liable to be withdrawn with immediate effect upon recommendation of Proctorial Board and approval of the Senate.

7) Sponsor

The sponsor of Scholarship/Awards/Prizes/Medals may be:

- (a) An Alumni/faculty/staff/any other person related to the Motilal Nehru National Institute of Technology Allahabad
- (b) Any individual/Institution with high moral and ethical values recognized by the society.

8) Procedure

- (a) The sponsor shall apply for institution of new sponsored Scholarship/Medal/Awards/Prizes on the prescribed format as given in Annexure I, clearly mentioning the reason for sponsoring and name on which he/she wants to start it.
- (b) The application after screening by a committee constituted as per Point No.9 shall be submitted to Senate for approval.
- (c) After approval of senate, the Sponsor shall be required to deposit the required amount in the Institute account [77660100015986, IFSC : BARB0VJMNRE]

9) Committee

The committee for screening applications of Scholarship/ Medal/ Awards and Prizes and recommending it to the Senate will be called as "Institute Scholarship Award and Prizes Committee" (Senate Scholarship Medal Awards and Prizes Committee). It shall consist of the following members:

- |   |               |
|---|---------------|
| (i) Dean (Student Welfare)                                | : Chairperson |
| (ii) Dean (Research and Consultancy)                      | : Member      |
| (iii) Dean (Academic)                                     | : Member      |
| (iv) Dean (RGLA)  | : Member      |
| (v) One Professor nominated by Chairman Senate and        | : Member      |
| (vi) One Associate Professor nominated by Chairman Senate | : Member      |

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14/01/2021

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14.1.2021

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14/01/21



मोतीलाल नेहरू राष्ट्रीय प्रौद्योगिकी संस्थान इलाहाबाद  
प्रयागराज- 211004 (भारत)  
Motilal Nehru National Institute of Technology Allahabad  
Prayagraj- 211004 (India)

Annexure - 1

APPLICATION FORM

For

Institution of New Sponsored Medals/Scholarships/Awards & Prizes etc.

1. Name of the Sponsorer : \_\_\_\_\_
2. Correspondence Address : \_\_\_\_\_  
Phone No. : \_\_\_\_\_  
Mobile No. : \_\_\_\_\_  
E-mail ID : \_\_\_\_\_  
Nationality : \_\_\_\_\_  
Aadhar No./Passport No. : \_\_\_\_\_
3. Objective of Instituting the new Medals/Scholarship/Awards & Prizes : \_\_\_\_\_
4. Relationship with MNNIT Allahabad : \_\_\_\_\_  
If Alumni of the Institute, then Programme : \_\_\_\_\_  
Branch : \_\_\_\_\_  
Year of passing : \_\_\_\_\_
5. Suggested name after which the Medals/Scholarships/Awards/Prizes Will be instituted : \_\_\_\_\_  
[Enclose a brief bio-data not more than 500 words, Photograph of the person/Institution upon which the Medal/Scholarship/Award/prize is required to be instituted and Photograph of the Sponsor]  
[Name will be finalized by the Institute Committee/Senate]
6. In case the earned becomes less than the required amount, please provide any one of the options given below:  
I or my representative may be contacted to add-up some additional principal amount\*   
I don't want to be contacted   
\* Name of the representative with complete address: \_\_\_\_\_
7. In case of some additional eligibility criteria (over and above point 6) are required; please use additional sheet(s).

[Signature of Authorized Institute Signatory]

[Signature of Sponsor]

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मोतीलाल नेहरू राष्ट्रीय प्रौद्योगिकी संस्थान इलाहाबाद  
 प्रयागराज -211004 [भारत]  
**Motilal Nehru National Institute of Technology Allahabad**  
**Prayagraj-211004 [India]**  
 Website: <http://www.mnnit.ac.in>

Minutes of the Sixtieth [60<sup>th</sup>] meeting of the Finance Committee held on June 25, 2021 at 02:30 p.m. through on-line mode [on Google Meet] as well as at Conference Room, Executive Development Centre, MNNIT Allahabad.

Following members of the Finance Committee attended the meeting -

1. **Prof. Devendra Pratap Singh** Chairman  
 Distinguished Professor, IIT (BHU),  
 Varanasi - 221005 (U.P.)  
 [attended online]
2. **Prof. Rajeev Tripathi** Member  
 Director,  
 Motilal Nehru National Institute of Technology Allahabad,  
 Prayagraj – 211004.
3. **Shri Mrutyunjay Behera** Member  
 Economic Adviser (HE)  
 Ministry of Education (Shiksha Mantralaya)  
 Government of India,  
 Shastri Bhawan, New Delhi -110001  
 [attended online]
4. **Smt. Darshana M Dabral** Member  
 Joint Secretary & Financial Advisor  
 Integrated Finance Division,  
 Ministry of Education,  
 Government of India, Shastri Bhawan, New Delhi – 110001  
 [attended online]



5. **Prof. Rakesh Narain** Member  
Professor, Department of Mechanical Engineering,  
Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj – 211004.
6. **Dr. Sarvesh K Tiwari** Member  
Registrar, Secretary  
Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj – 211004.

Prof. Neeraj Misra, Professor, IIT Kanpur [nominee of Director, IIT Kanpur] member, Finance Committee could not attend the meeting due to he being out of country. Prof. Neeraj Misra was granted leave of absence.

The Chairperson extended a warm welcome to the members and thanked them for taking their time out to attend the meeting.

The Finance Committee was informed about the sad demise of one of the members of the Finance Committee Shri Madan Mohan, ADG (HE), MoE on 26.04.2021. The Finance Committee remembered the contributions made by Late (Shri) Madan Mohan during the meetings of the Finance Committee and expressed its condolences to the family of the departed soul.

The Finance Committee extended a warm welcome to Shri Mrutyunjay Behera, Economic Adviser (HE), who is looking after the NITs division as Bureau Head.

The Chairperson also extended a warm welcome to Prof. Rakesh Narain, who was attending the meeting of the Finance Committee for the first time after his nomination to the Finance Committee, as nominee of the Board of Governors, in terms of the provisions under Statutes 10 (I) (iv) of the first Statutes of all NITs.

The Finance Committee further acknowledged and appreciated the contributions made by Prof. M. M. Gore during his term as member of the Finance Committee of the Institute.

The Finance Committee deliberated on the agenda items, and agenda item-wise proceedings are as under:



**Item No. 60.01 : To confirm the minutes of the Fifty-ninth [59<sup>th</sup>] meeting of the Finance Committee held on 26.03.2021.**

**Resolution :** The Finance Committee considered the Institute proposal for confirmation of the minutes of its Fifty-ninth [59<sup>th</sup>] meeting held on 26.03.2021, and noted that no comments have been received from any of the members.

The Finance Committee, considering the above, resolved to confirm the minutes of its Fifty-ninth [59<sup>th</sup>] meeting held on 26.03.2021.

**Item No. 60.02 : To report the action taken on the decisions taken in the Fifty-ninth [59<sup>th</sup>] meeting of the Finance Committee held on 26.03.2021.**

**Resolution :** The Finance Committee perused the action taken by the Institute on the decisions taken in its Fifty-ninth [59<sup>th</sup>] meeting held on 26.03.2021, as circulated along with agenda papers.

The Finance Committee resolved that action taken by the Institute on the decisions taken in its Fifty-ninth [59<sup>th</sup>] meeting be noted, and taken on record.

**Item No. 60.03 : [A] To note the Memorandum of Understanding [MoU] submitted by the Institute for execution between the Institute and the Ministry of Education for the Financial Year 2021-22.**

**[B] To note the annual allocation of funds to the Institute communicated by the Ministry of Education vide letter F. No. 36-2/2021-TS.III, dated 14.05.2021 on the subject "Budget Estimates (Annual Allocation) for the Financial Year 2021 – 2022 in respect of 31 NITs and IEST, Shibpur".**

**Resolution :** [A] The Finance Committee considered the Memorandum of Understanding [MoU] submitted by the Institute for execution of the same between the Institute and the Ministry of Education for the Financial Year 2021-22.

The Finance Committee resolved to note the same.



**[B]** The Finance Committee considered the annual allocation of funds to the Institute communicated by the Ministry of Education vide letter F. No. 36-2/2021-TS.III, dated 14.05.2021 on the subject "*Budget Estimates (Annual Allocation) for the Financial Year 2021 – 2022 in respect of 31 NITs and IEST, Shibpur*".

The Finance Committee noted that the annual allocation for the Financial Year 2021-22 in respect of MNNIT Allahabad by the Ministry of Education is as under:

OH	OH-31	OH-35	OH-36	Total
Annual Allocation	₹67.15 Cr.	₹18.59 Cr.	₹78.27 Cr.	₹164.01 Cr.

The Finance Committee resolved to note the same.

**Item No. 60.04 :** To consider the recommendations of the Thirty-fourth [34<sup>th</sup>] meeting of the Building & Works Committee [B&WC] held on 15.02.2021 on the proposal of proposed additional works in the already awarded ongoing work of Repair of Drain/laying of storm Sewer line and provision of footpath in the Institute Campus and repair of roads in the Hostel Campus of the Institute.

**Resolution :** The Finance Committee considered the recommendations of the Thirty-fourth [34<sup>th</sup>] meeting of the Building & Works Committee [B&WC] held on 15.02.2021 on the proposal of proposed additional works in the already awarded ongoing work of Repair of drain/laying of storm sewer line and provision of footpath in the Institute Campus and repair of roads in the Hostel Campus of the Institute.

The Finance Committee noted that the work of repair of drains/laying of storm sewer line and provision of footpath in Institute Campus and repair of roads in the Hostel campus of the Institute, was awarded to the CPWD Allahabad with the Administrative Approval/ Expenditure sanction of ₹ 9,08,98,000.00 (Rupees Nine Crore Eight Lac Ninety Eight Thousand only), with the approval of the Board of Governors vide resolution on item No. 52.13 of its Fifty-second [52<sup>nd</sup>] meeting held on 23.03.2018 on the recommendations of the resolution No. 47.06 [A] of the Forty-seventh [47<sup>th</sup>] meeting of the Finance Committee held on 23.03.2018 and the



resolution No. 8.01 of the 8th Emergent meeting of the Buildings and Works Committee held on 13.02.2018.

The Finance Committee was also apprised of with the necessity of these works along-with the reasons for not including these additional works as a part of the initial work, as under:

- (i) During execution of above mentioned work, it was observed that there is a need of replacement of the old sewer lines as per site requirements in the hostel campus, as these sewer lines were constructed 60 years back and got damaged during the construction of the drains under the sanctioned work. There was also an issue choking problem in the old damaged sewer line in the hostel campus. It is pertinent to mention here that the student occupancy has increased five folds in the hostel campus.
- (ii) There was also problem of flowing of sewer line and water coming from kitchen of mess in same old sewer, which had started causing choking problem in the old damaged sewer line in the hostel campus.
- (iii) During the construction of drains, it was also observed that there is requirement of putting grills over the open drains for making it easy to maintain and to give more width to our narrow roads and to avoid parking problems.
- (iv) These additional works came out of necessity arising due to the ongoing work and are of same nature of sanctioned works.

The Finance Committee was also apprised of the details of additional items/ works under the proposed works.

The Finance Committee also noted that the E.E., CPWD vide letter no: 54(17)/रि०इं०/एम०एन०एन०आई०टी०/इ०परि०मं०/2020/319 dated 17.03.2020, letter No. 54(17)/रि०इं०/एम०एन०एन०आई०टी०/इ०परि०मं०/2020/474 dated 01.06.2020 and letter No: 54(17)/रि०इं०/एम०एन०एन०आई०टी०/इ०परि०मं०/2020/533 दिनांक 15/06/2020, has submitted for the execution of following modifications in the ongoing work of repair of drains/laying of storm sewer line and provision of footpath in Institute Campus and repair of roads in the Hostel campus of MNNIT Allahabad:



<b>Sl. No.</b>	<b>Name of works</b>	<b>Amount of work</b>
1.	Laying of New Sewer line for waste water received from Mega Hostel & P.G. Hostel i/c C/o of settlement tank.	₹22,92,512.00
2.	Providing & fixing M.S. grating over all open drains under ongoing work.	₹76,97,936.00
3.	Pavement Laying of new sewer line & Construction of settling tank for centralized kitchen of Tilak, Tandon, Malviya & Patel Hostel.	₹31,47,000.00
<b>Total Amount</b>		<b>₹ 1,31,37,448.00</b>

The Finance Committee was further apprised that the above proposed additional works will be executed within the approved Administrative Approval and Expenditure Sanction of the initial work and the expenditure for this work will be met out of the funds already available with the Institute.

The Finance Committee, in consideration of the above, resolved to accept the proposal and to recommend the same to the Board of Governors for approval.

The Finance Committee advised the Institute to take adequate care in planning of all works and to ensure that all essential components related to a work are considered at the planning stage itself, in all future works.

**Item No. 60.05 : Any other matter with the permission of the Chair.**

**To consider the adoption of the Central Civil Services (Implementation of National Pension System) Rules, 2021 notified by the Ministry of Personnel, Public Grievances and Pensions (Department of Pension and Pensioner's Welfare), Government of India Notification No. 178, dated March 30, 2021.**



**Resolution** : The Finance Committee with the permission of the Chairman, considered the matter of adoption of the Central Civil Services (Implementation of National Pension System) Rules, 2021 notified by the Ministry of Personnel, Public Grievances and Pensions (Department of Pension and Pensioner's Welfare), Government of India Notification No. 178, dated March 30, 2021 [copy enclosed at **ANNEXURE-I**].

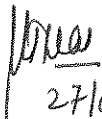
The Finance Committee was apprised that one of the faculty members of the Institute, covered under the NPS, has lost his life in April 2021 during the Covid-19 Pandemic and his family dependent family members have requested for grant of family pension and death gratuity, quoting the provisions of the above referred Notification dated March 30, 2021.

The Finance Committee observed that the above Notification has not yet been made applicable for autonomous bodies.

The Finance Committee, in consideration of the above, resolved to refer the matter to the Ministry of Education, giving full details, requesting therein to extend the provisions of the above referred notification to the employees of the Institute covered under the NPS.

Considering the importance and urgency, the Finance Committee resolved to request the Board of Governors to consider the above recommendations of the Finance Committee in its very next meeting.

The meeting ended with thanks to the Chair.


  
27/06/2021

**[Sarvesh K Tiwari]**  
Registrar/ Member Secretary

  
27/6/21

**[Rajeev Tripathi]**  
Director, MNNIT Allahabad

Approved

  
27. 06. 21

**[Devendra Pratap Singh]**  
Chairman, Finance Committee  
MNNIT Allahabad



सत्यमेव जयते

# भारत का राजपत्र

## The Gazette of India

सी.जी.-डी.एल.-अ.-31032021-226276  
CG-DL-E-31032021-226276

असाधारण  
EXTRAORDINARY

भाग II—खण्ड 3—उप-खण्ड (i)  
PART II—Section 3—Sub-section (i)

प्राधिकार से प्रकाशित  
PUBLISHED BY AUTHORITY

सं. 178]  
No. 178]

नई दिल्ली, बुधवार, मार्च 31, 2021/चैत्र 10, 1943  
NEW DELHI, WEDNESDAY, MARCH 31, 2021/CHAITRA 10, 1943

कार्मिक, लोक शिकायत और पेंशन मंत्रालय

(पेंशन और पेंशनभोगी कल्याण विभाग)

अधिसूचना

नई दिल्ली, 30 मार्च, 2021

सा.का.नि.227(अ).—राष्ट्रपति, संविधान के अनुच्छेद 148 के खंड(5) और अनुच्छेद 309 के परंतुक द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए और भारतीय लेखापरीक्षा और लेखा विभाग में सेवा करने वाले व्यक्तियों के संबंध में भारत के नियंत्रक महालेखापरीक्षक से परामर्श करने के पश्चात, राष्ट्रीय पेंशन प्रणाली के कार्यान्वयन की पद्धति को विनियमित करने के लिए निम्नलिखित नियम बनाते हैं, अर्थात् -

1. संक्षिप्त नाम और प्रारम्भ - (1) इन नियमों का संक्षिप्त नाम केंद्रीय सिविल सेवा (राष्ट्रीय पेंशन प्रणाली का कार्यान्वयन) नियम, 2021 है।

(2) ये राजपत्र में अपने प्रकाशन की तारीख को प्रवृत्त होंगे।

2. लागू होना- इन नियमों में अन्यथा उपबंधित के सिवाय, ये नियम 1 जनवरी, 2004 को या उसके पश्चात रक्षा सेवाओं में सिविल सरकारी कर्मचारियों सहित संघ के मामलों के संबंध में सिविल सेवाओं और पदों पर मूल रूप से नियुक्त सरकारी कर्मचारियों को लागू होंगे, किंतु निम्नलिखित को लागू नहीं होंगे -

(क) रेलवे कर्मचारी;

(ख) नैमित्तिक और दैनिक मजदूरी वाला कर्मचारी;

**MINISTRY OF PERSONNEL, PUBLIC GRIEVANCES AND PENSIONS**

**(Department of Pension and Pensioners' Welfare)**

**NOTIFICATION**

New Delhi, the 30th March, 2021

**G.S.R. 227(E).** – In exercise of the powers conferred by the proviso to article 309 and clause (5) of article 148 of the Constitution and after consultation with the Comptroller and Auditor-General of India in relation to persons serving in the Indian Audit and Accounts Department, the President hereby makes the following rules regulating the methods of implementation of National Pension System, namely:-

**1. Short title and commencement.** - (1) These rules may be called the Central Civil Services (Implementation of National Pension System) Rules, 2021.

(2) They shall come into force on the date of their publication in the Official Gazette.

**2. Application.** - Save as otherwise provided in these rules, these rules shall apply to the Government servants, including civilian Government servants in the Defence Services, appointed substantively to civil services and posts in connection with the affairs of the Union on or after 1<sup>st</sup> day of January, 2004, but shall not apply to,-

- (a) Railway servants;
- (b) persons in casual and daily rated employment;
- (c) persons paid from contingencies;
- (d) members of the All India Services;
- (e) persons locally recruited for services in diplomatic, consular or other Indian establishments in foreign countries;
- (f) persons employed on contract;
- (g) persons whose terms and conditions of service are regulated by or under the provisions of the Constitution or any other law for the time being in force; and
- (h) persons to whom the Central Civil Services (Pension) Rules, 1972 apply in accordance with any special or general order issued by the Government.

**3. Definitions.** - In these rules, unless the context otherwise requires,-

- (1) (a) "Accredited Bank" in relation to a Ministry or Department or Union territory means the Reserve Bank or any bank which is appointed to transact business of the Government pertaining to that Ministry or Department or Union territory and is officially recognised for transfer of funds to the Trustee Bank;
- (b) "Accumulated Pension Corpus" means the monetary value of the pension investments accumulated in the Individual Pension Account of a subscriber under the National Pension System;
- (c) "Annuity" means periodic payment by the Annuity Service Provider to the subscriber on purchase of annuity plan out of the Accumulated Pension Corpus;
- (d) "Annuity Service Provider" means a life insurance company registered and regulated by the Insurance Regulatory and Development Authority and empanelled by the Authority for providing Annuity services to the subscribers of the National Pension System;
- (e) "Authority" means the Pension Fund Regulatory and Development Authority established under sub-section (1) of section 3 of the Pension Fund Regulatory and Development Authority Act, 2013 (23 of 2013) and include interim Pension Fund Regulatory and Development Authority set up by the Central Government through Resolutions;
- (f) "Central Recordkeeping Agency" means an agency registered under section 27 of Pension Fund Regulatory and Development Authority Act, 2013 to perform the functions of recordkeeping, accounting, administration and customer service for subscribers to schemes;
- (g) "Drawing and Disbursing Officer" means a Head of Office and also any other Gazetted Officer so designated by a Department of the Central Government, a Head of Department or an Administrator, to draw bills and make payments on behalf of the Central Government. The term shall also include a Head of Department or an Administrator where he himself discharges such function;
- (h) "Cheque Drawing and Disbursing Officer" means a drawing and disbursing officer functioning under a Ministry or Department (including Central Public Works Department, Forest Department and Departments in which the provisions of Central Public Works Account Code are authorised to be followed) or a Union



territory, who is authorised to withdraw money for specified types of payments against an assignment account opened in his favour in a specified branch of an accredited bank;

- (i) "Defence Services" means services under the Government of India in the Ministry of Defence and in the Defence Accounts Department under the control of the Ministry of Defence paid out of the Defence Services Estimates and not permanently subject to the Air Force Act, 1950 (45 of 1950) or the Army Act, 1950 (46 of 1950) or the Navy Act, 1957 (62 of 1957);
- (j) "Emoluments" means emoluments as specified in rule 5;
- (k) "Foreign Service" means service in which a Government servant receives his pay with the sanction of the Government from any source other than the Consolidated Fund of India or the Consolidated Fund of a State or the Consolidated Fund of a Union territory;
- (l) "Government" means the Central Government;
- (m) "Head of Department" means an authority specified in Schedule 1 to the Delegation of Financial Powers Rules, 1978, and includes such other authority or person whom the President may, by order, specify as Head of a Department;
- (n) "Head of Office" means a Gazetted Officer declared as such under rule 14 of Delegation of Financial Powers Rules, 1978, and includes such other authority or person whom the Competent Authority may, by order, specify as Head of Office;
- (o) "Individual Pension Account" means an account of a subscriber, executed by a contract setting out the terms and conditions under the National Pension System;
- (p) "Local Fund administered by Government" means the fund administered by a body which, by law or rule having the force of law, comes under the control of the Government and over whose expenditure the Government retains complete and direct control;
- (q) "National Pension System" means the contributory pension system referred to in section 20 of the Pension Fund Regulatory and Development Authority Act, 2013 whereby contributions from a subscriber are collected and accumulated in an individual pension account using a system of points of presence, a Central Recordkeeping Agency and pension funds as may be specified by regulations by Pension Fund Regulatory and Development Authority;
- (r) "Pay and Accounts Officer" means an officer, whatever his official designation, who maintains the accounts of a Ministry, Department or Office of the Central Government or Union territory and includes an Accountant-General, who is entrusted with the functions of maintaining the accounts or part of accounts of the Central Government or Union territory;
- (s) "Pension fund" means an intermediary which has been granted a certificate of registration under sub-section (3) of section 27 of the Pension Fund Regulatory and Development Authority Act, 2013 by the Authority as a pension fund for receiving contributions, accumulating them and making payments to the subscriber in the manner as may be specified by regulations;
- (t) "Permanent Retirement Account Number" means a unique identification number allotted to each subscriber by the Central Recordkeeping Agency;
- (u) "Subscriber" means a Government servant who subscribes to a scheme of a Pension fund;
- (v) "Trustee Bank" means a banking company as defined in the Banking Regulation Act, 1949 (10 of 1949).

(2) Words and expressions used herein and not defined but defined in the Fundamental Rules, 1922, the Central Civil Services (Pension) Rules, 1972, the Pension Fund Regulatory and Development Authority Act, 2013 or Pension Fund Regulatory and Development Authority regulations have the same meanings respectively assigned to them in those Act or Rules or Regulations.

#### GENERAL CONDITIONS

4. **Registration into National Pension System.** - (1) A Government servant to whom these rules apply, shall, immediately on joining service submit an application in Common Subscriber Registration Form or in any other form specified by the Authority along with an option form referred to in rule 10, to the Head of Office for registration to the National Pension System.

(2) The Head of Office shall on receipt of the application under sub-rule (1), ensure that the application is complete in all respects, countersign it indicating the date of receipt and send it to the Drawing and Disbursing Officer within three working days of joining of the Government servant. The Head of Office shall keep a copy of the application form for record.

- (3) The Drawing and Disbursing Officer shall forward the application of individual subscriber to the Pay and Accounts Officer or Cheque Drawing and Disbursing Officer, as the case may be, within three working days from the date of receipt of the application from the Head of Office.
- (4) The Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer, as the case may be, shall process the application received from the Drawing and Disbursing Officer and forward it to the Central Recordkeeping Agency through the online system within three working days from the date of receipt of the application from the Drawing and Disbursing Officer. The Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer shall also forward duly signed copy of the application to the Central Recordkeeping Agency for record.
- (5) The Central Recordkeeping Agency shall complete registration process and allocate a Permanent Retirement Account Number in respect of each Government servant in the form specified by the Authority as per the turn-around time specified by the Authority. After completion of the registration process, the Central Recordkeeping Agency shall communicate the Permanent Retirement Account Number to the Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer, as the case may be, and also forward Permanent Retirement Account Number kits to the Subscriber in accordance with the process and turn-around time laid down by the Authority.
- (6) The Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer, as the case may be, shall communicate Permanent Retirement Account Number (PRAN) to the concerned Drawing and Disbursing Officer immediately.
- (7) The Drawing and Disbursing Officer shall communicate the Permanent Retirement Account Number (PRAN) to the Head of Office immediately.
- (8) The Head of Office shall intimate the Permanent Retirement Account Number to the Subscriber and shall record the Permanent Retirement Account Number (PRAN) in the Common Subscriber Registration Form or any other form specified by the Authority submitted by the Subscriber and in the service book of the Subscriber and also paste a certified copy of the Common Subscriber Registration Form or any other form specified by the Authority in the service book of the Subscriber within five working days thereafter.
- (9) The authorities referred to in sub-rule (2) to sub-rule (8) shall ensure that there is no delay in the process of registration of the Government servant in the National Pension System and crediting of first contribution in his Individual Pension Account. The first contribution of the Government servant shall be credited in his Individual Pension Account within twenty days of the date of submission of the application under sub-rule (1) or by the last date of the month in which the Government servant joined, whichever is later.
- (10) In a case where the process of registration of the Government servant in the National Pension System has not been completed before the date of drawal of the salary for the first month or any subsequent month, such salary or salaries shall be paid to the Government servant after withholding the amount of contribution as determined in accordance with rule 6. The amount of the contribution withheld from the salary as well as the amount of interest payable under rule 8 shall be credited to the Individual Pension Account of the Government servant as soon as the process of generation of Permanent Retirement Account Number of Government servant in the National Pension System is completed by the Central Recordkeeping Agency and communicated to the Pay and Accounts Officer or Cheque Drawing and Disbursing Officer.
- (11) Action on the option form submitted under sub-rule (1) shall be taken in accordance with rule 10.
- 5. Emoluments.** – (1) The expression 'emoluments' for the purpose of determining the amount of mandatory contribution under the National Pension System includes basic pay as defined in rule 9 (21) (a) (i) of the Fundamental Rules, 1922, non-practicing allowance granted to medical officer in lieu of private practice and admissible dearness allowance in a calendar month.
- (2) Subject to the proviso to sub-rule (1) of rule 7, if a Subscriber had been absent from duty on leave for which leave salary is payable, the amount representing pay and dearness allowance in the leave salary actually drawn shall be taken into account for emoluments for the purpose of this rule. The amount of pay, non-practicing allowance and dearness allowance, actually drawn during leave shall be taken into account as emoluments for the purpose of this rule.
- (3) Subject to the proviso to sub-rule (1) of rule 7, if a Subscriber had been absent from duty or was on extraordinary leave, during whole or part of a calendar month, the pay or the amount representing pay, non-practicing allowance referred to in this rule and dearness allowance in the leave salary which he actually drew for the part of that calendar month during which he was on duty or was on leave for which leave salary is payable, shall be taken into account for emoluments for the purpose of this rule.
- (4) If a Subscriber had been under suspension, the subsistence allowance drawn during the period of suspension in a calendar month shall be taken into account for emoluments for the purpose of this rule.

(5) Pay drawn by a Subscriber while on deputation in India shall be taken into account for emoluments for the purpose of this rule.

(6) In the case of a Subscriber on foreign service or deputation outside India, the pay which he would have drawn under the Government had he not been on foreign service or such deputation, shall be taken into account for emoluments.

(7) Where a retired Subscriber, who is re-employed in Government service and to whom these rules are applicable and whose pay on re-employment has been reduced by an amount not exceeding his monthly pension, the element of monthly pension by which his pay is reduced shall be included in emoluments.

**6. Contribution by the Subscriber to the National Pension System.-** (1) The National Pension System shall work on defined contribution basis. A Subscriber shall make a contribution of ten per cent or such other percentage as may be notified from time to time, of his emoluments to the National Pension System every month. The amount of contribution payable shall be rounded off to the next higher rupee.

(2) Contribution may be made by the Subscriber, at his option, during the period of suspension:

Provided that where, in the final orders passed by the Government on conclusion of the inquiry, the period spent under suspension is treated as duty or leave for which leave salary is payable, contributions to the National Pension System shall be determined based on the emoluments which the Subscriber becomes entitled to for the period of suspension. The difference of the amount of contribution to be deposited and the amount of contribution already deposited during the period of suspension, shall be credited to the Individual Pension Account of the Subscriber along with interest. The rate of interest for this purpose would be the rate of interest as decided by the Government from time to time for the Public Provident Fund deposits.

(3) No contribution shall be made by the Subscriber during the period of absence from duty (whether on leave or otherwise) for which no pay or leave salary is payable.

(4) During the period of transfer on deputation to a Department or organisation under the Central Government or the State Government, the Subscriber shall remain subject to these rules in the same manner, as if he was not so transferred or sent on deputation and will continue to contribute towards National Pension System based on emoluments worked out in accordance with sub-rule (5) of rule 5.

(5) Contributions in respect of any arrears of salary received by the Subscriber due to retrospective increase shall be treated as the contributions for the month in which the payments are made.

(6) The Subscriber shall contribute toward National Pension System during the period spent under probation.

(7) Deduction and crediting of contributions to the Individual Pension Account during foreign service in India or outside India, including deputation to United Nations' Secretariat or other United Nations' Bodies, the International Monetary Fund, the International Bank of Reconstruction and Development, or the Asian Development Bank or the Commonwealth Secretariat or any other International organisation, shall be regulated in accordance with the instructions issued by the Department of Personnel and Training from time to time and the procedure laid down by the Authority.

(8) The Drawing and Disbursing Officer shall deduct the contribution from the salary of the Government servant and send the bill to the Pay and Accounts Officer or Cheque Drawing and Disbursing Officer, as the case may be, along with details of contributions deducted in respect of each Subscriber on or before Twentieth day of each month.

(9) A Subscriber may, at his option, make contribution in excess of the contribution specified in sub-rule (1) in accordance with the procedure laid down by the Authority and the Government.

(10)(i) The Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer, as the case may be, based on the details of contributions in respect of each Subscriber sent by the Drawing and Disbursing Officer to Pay and Accounts Officer or Cheque Drawing and Disbursing Officer under sub-rule (8), shall prepare and upload a Subscription Contribution File and generate a Transaction ID by Twenty- fifth day of each month.

(ii) The Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer, as the case may be, shall remit the contribution to the Trustee Bank through the Accredited Bank by the last working day of each month :

Provided that the contribution for the month of March shall be remitted by the Pay and Accounts Officer or the Cheque Drawing and Disbursing Officer to the Trustee Bank through the Accredited Bank on the first working day of the month of April.

(iii) In case of delay in crediting of contribution to the Individual Pension Account of the Subscriber beyond the prescribed timeline due to factors not attributable to the Subscriber, the amount shall be credited to the Individual Pension Account of the Subscriber along with interest for the delayed period, as determined in accordance with rule 8.

**7. Contribution by the Government.** - (1) The Government shall make contribution of fourteen per cent or such other percentage as may be notified from time to time, of the emoluments of a Government servant to the Individual Pension Account of the Subscriber every month. The amount of contribution payable shall be rounded off to the next higher rupee :

Provided that in cases where the leave is granted to the Subscriber on medical ground or due to his inability to join or rejoin duty on account of civil commotion; or for pursuing higher studies considered useful in discharge of his official duty,

and during such leave, leave salary is not payable or is payable at a rate which is less than full pay, the Government shall make contribution equal to fourteen per cent or such other percentage as may be notified from time to time, of the notional emoluments comprising the amount representing pay and dearness allowance in the leave salary, non-practicing allowance referred to in rule 5.

(2) Subject to the proviso to sub-rule (1), no contribution shall be made by the Government for the period during which the Subscriber is not required to make contribution in accordance with these rules.

(3) In the case of a Subscriber under suspension, contribution shall be made by the Government on the basis of the emoluments determined by taking into account the subsistence allowance paid to the Subscriber during the period of such suspension :

Provided that no contribution shall be made by the Government during the period of suspension where the Subscriber had opted not to pay his contribution during the said period of suspension :

Provided further that where, in the final orders passed by the Government on conclusion of the inquiry, the period spent under suspension is treated as duty or leave for which leave salary is payable, contributions by the Government to the National Pension System shall be determined based on the emoluments which the Subscriber becomes entitled to for the period of suspension. The difference of the amount of contribution to be deposited by the Government and the amount of contribution already deposited during the period of suspension, shall be credited to the Individual Pension Account of the Subscriber along with interest. The rate of interest for this purpose would be the rate of interest as decided by the Government from time to time for the Public Provident Fund deposits.

(4) Contribution by the Government to the Individual Pension Account during foreign service in India or outside India, including deputation to United Nations' Secretariat or other United Nations' Bodies, the International Monetary Fund, the International Bank of Reconstruction and Development, or the Asian Development Bank or the Commonwealth Secretariat or any other International organisation, shall be regulated in accordance with the orders issued by Department of Personnel and Training from time to time and the procedure laid down by the Authority.

(5) The amount of contribution payable shall be rounded off to the next higher rupee.

(6) The provisions regarding time line as applicable in the case of remittance of contribution by the Subscriber would also be applicable for remittance of contribution by the Government. In case there is a delay in crediting of contribution to the Individual Pension Account of the Subscriber beyond the prescribed timeline due to factors not attributable to the Subscriber, the amount shall be credited to the Individual Pension Account of the Subscriber along with interest for the delayed period, as determined in accordance with rule 8.

**8. Interest on delayed deposit of contributions.** - (1) In case of delay, due to factors not attributable to the Subscriber, in,-

- (i) commencement of monthly contributions on account of delay in registration of the Subscriber in the National Pension System beyond the time limits prescribed in rule 4; or
- (ii) deduction of monthly contribution from the salary of the Subscriber or crediting to his Individual Pension Account beyond the time limit prescribed in rule 6; or
- (iii) crediting of the monthly contributions by the Government to the Individual Pension Account of the Subscriber beyond the time limit prescribed in rule 7,

the amount of contribution may be credited to the Individual Pension Account of the Subscriber along with interest for the delayed period. The interest shall be credited to the Individual Pension Account of the employee within a period of thirty days of the crediting of the amount of contribution. The rate of interest for this purpose would be the rate of interest, as decided by the Government from time to time, for the Public Provident Fund deposits :

Provided that the rate of interest applicable for the period from 1st January, 2004 to 31<sup>st</sup> December, 2012 shall be as notified by Department of Financial Services in its Notification F. No. 1/3/2016-PR dated 31<sup>st</sup> January, 2019 and by Department of Expenditure in its Office Memorandum No. 1(21)/EV/2018 dated 12<sup>th</sup> April, 2019.

(2)(i) Every case of delay in registration of the Subscriber in the National Pension System or commencement of contributions under rule 4 or deduction and crediting of monthly contribution of the Subscriber under rule 6 or crediting of monthly contribution by the Government in the Individual Pension Account of the Subscriber under rule 7 shall be examined by the Head of Department or Chief Controller of Accounts for fixation of responsibility;

(ii) If the Head of Department or Chief Controller of Accounts is satisfied that the delay is caused on account of administrative lapse, the delinquent official or officials shall be liable to pay the amount of pecuniary loss to the Government on account of payment of interest;

(iii) The responsibility and the amount of liability on the part of the delinquent official or officials shall be determined in the same manner as in the case of delayed deduction or remittance of Tax Deduction at Source under Section 201(IA) of the Income-tax Act, 1961. This will be without prejudice to any disciplinary action which the disciplinary authority may propose to take against the official or officials responsible for the administrative lapse in this respect.

**9. Investment of the Accumulated Pension Corpus.** - The Accumulated Pension Corpus in respect of a Subscriber shall be invested by such pension fund or funds and in such manner as may be notified by the Authority.

**10. Option to avail benefits on death or invalidation or disability of Subscriber during service.** - (1) Every Government servant covered under the National Pension System shall, at the time of joining Government service, exercise an option in Form 1 for availing benefits under the National Pension System or under the Central Civil Service (Pension) Rules, 1972 or the Central Civil Service (Extraordinary Pension) Rules, 1939 in the event of his death or boarding out on account of disablement or retirement on invalidation. Government servants, who are already in Government service and are covered by the National Pension System, shall also exercise such option as soon as possible after the notification of these rules.

(2) The option shall be exercised to the Head of Office who will accept the same after verifying all the facts submitted therein and place it in the service book. A copy of the option shall be forwarded by the Head of Office to the Central Recordkeeping Agency through the Drawing and Disbursing Officer and the Pay and Accounts Officer for their record. The Pay and Accounts Officer shall also make suitable entry in the online system indicating the details regarding the option exercised by the Government servant.

(3) (a)(i) Every Government servant shall, along with the option in Form 1, also submit details of family in Form 2 to the Head of Office;

(ii) If the Government servant has no family, he shall furnish the details in Form 2 as soon as he acquires a family.

(b) The Government servant shall communicate to the Head of Office any subsequent change in the size of his family, including the fact of marriage of his child.

(c) As and when a disability referred to in the proviso to sub-rule (6) of rule 54 of the Central Civil Service (Pension) Rules, 1972 manifests itself in a child which makes him unable to earn his living, the fact shall be brought to the notice of the Head of Office duly supported by a Medical Certificate from a Medical Officer, not below the rank of a Civil Surgeon. This may be indicated in Form 2 by the Head of Office. As and when the claim for family pension arises, the legal guardian of the child may make an application supported by a fresh medical certificate from a Medical Officer, not below the rank of Civil Surgeon, that the child still suffers from the disability.

(d)(i) The Head of Office shall, on receipt of the Form 2, acknowledge receipt of the Form 2 and all further communications received from the Government servant in this behalf, countersign it indicating the date of receipt and get it pasted on the service book of the Government servant concerned;

(ii) The Head of Office on receipt of communication from the Government servant regarding any change in the size of family shall incorporate such a change in Form 2.

(4)(i) The option exercised under sub-rule (1), may be revised at any number of times by the Subscriber before his retirement by making a fresh option intimating his revised option to the Head of Office. On receipt of the revised option, the Head of Office and the Pay and Accounts Officer shall take further action as mentioned in sub-rule (2);

(ii) A Subscriber who is discharged on invalidation or disability shall be given an opportunity to submit a fresh option at the time of such discharge;

(iii) Where such Subscriber does not exercise a fresh option or is not in a position to exercise fresh option at the time of discharge, the option already exercised by the Subscriber shall become operative;

(iv) Where no option was exercised by the Subscriber and the Subscriber is not in a position to exercise an option at the time of discharge, his case will be regulated in accordance with sub-rule (6).

(5) In the case of death of a Subscriber while in service, the last option exercised by the deceased Subscriber before his death shall be treated as final and the family shall have no right to revise the option.

(6)(i) Where a Subscriber who did not exercise an option under sub-rule (1) and dies before completion of service of fifteen years or within three years of the notification of these rules, his family will be granted family pension in accordance with the provisions of the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939 as the case may be, as a default option;

(ii) Where a Subscriber is discharged from Government service on invalidation or disability before completion of service of fifteen years or within three years of the notification of these rules without exercising an option under sub-rule (1), and is also not in a position to exercise an option at the time of discharge, he will be granted invalid pension or disability pension in accordance with the provisions of the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939 as the case may be, as default option;

(iii) In all other cases, where no option was exercised by the Subscriber, the claim of the Subscriber on discharge from the service and that of the family on death of the Subscriber, shall be regulated in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015, as default option.

(7) In cases where the option exercised by the deceased Subscriber in accordance with sub-rule (1) or the default option in accordance with sub-rule (6) for benefit under the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939 becomes infructuous on account of non-availability of an eligible member of the family for grant of family pension under the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939, such option would be deemed to have become invalid and the benefits admissible under the National Pension System shall be granted to the legal heir(s) of the employee in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**11. Retirement on superannuation.** - A Subscriber, who is retired on his attaining the age of superannuation or, if the service of the Subscriber has been extended beyond superannuation, on expiry of such period of extension of service beyond the age of superannuation, shall be entitled to benefits as admissible under the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015 to the Subscriber retiring on superannuation.

**12. Retirement on completion of twenty years' regular service.** - (1) At any time after a Subscriber has completed twenty years' regular service, he may, by giving notice of not less than three months in writing to the appointing authority, retire from service :

Provided that this rule shall not apply to a Subscriber, including scientist or technical expert, who is, –

- (i) on assignments under the Indian Technical and Economic Cooperation (ITEC) Programme of the Ministry of External Affairs and other aid programmes ;
- (ii) posted abroad in foreign based offices of the Ministries or Departments ;
- (iii) on a specific contract assignment to a foreign Government,

unless, after having been transferred to India, he has resumed the charge of the post in India and served for a period of not less than one year.

**Explanation.-** For the purposes of this rule,-

(a) "regular service" shall mean service commencing from the date of joining of a post in the Central Government on a regular basis, whether on direct recruitment or absorption or re-employment basis, and shall include past regular service, in the same or another Central Government Department, a State Government or an autonomous or statutory body, before joining the present service with proper permission, if such past service is allowed to be counted as qualifying service for the purpose of gratuity in accordance with the orders issued by the Government from time to time.

(b) periods spent on all kinds of leave (including study leave and extraordinary leave), deputation or foreign service, duly sanctioned by the competent authority, shall be treated as regular service for the purpose of this rule.

(c) service rendered on casual, ad-hoc or contract basis, before appointment on regular basis, in the same or another Central Government Department, a State Government or an autonomous or statutory body, shall not be treated as regular service for the purpose of this rule.

(2) The notice of voluntary retirement given under sub-rule (1) shall require acceptance by the appointing authority :

Provided that where the appointing authority does not refuse to grant the permission for retirement before the expiry of the period specified in the said notice, the retirement shall become effective from the date of expiry of the said period.

(3)(a) Subscriber referred to in sub-rule (1) may make a request in writing to the appointing authority to accept notice of voluntary retirement of less than three months giving reasons therefor.

(b) The appointing authority, on receipt of a request under clause (a), subject to sub-rule (2), may consider such request for the curtailment of the period of notice of three months on merits and if he is satisfied that the curtailment of the period of notice will not cause any administrative inconvenience, the appointing authority may relax the requirement of notice of three months.

(4) Subscriber, who has chosen to retire under this rule and has given the necessary notice to that effect to the appointing authority, shall be precluded from withdrawing his notice except with the specific approval of such authority:

Provided that the request for withdrawal shall be made at least fifteen days before the intended date of his retirement.

(5) This rule shall not apply to a Subscriber who, -

(a) retires under the Special Voluntary retirement Scheme of Department of Personnel and Training relating to voluntary retirement of surplus employees as notified by their Office Memorandum No. 25013/6/2001-Estt. (A) dated the 28<sup>th</sup> February, 2002 as amended from time to time; or

(b) retires from Government service for being absorbed in an autonomous body or a public sector undertaking.

Explanation. - For the purposes of this rule, the expression "*appointing authority*" shall mean the authority which is competent to make appointments to the service or post from which the Subscriber seeks voluntary retirement.

(6) The Subscriber, on voluntary retirement from service, shall be entitled to benefits admissible under the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015 to the Subscriber retiring on superannuation.

(7) If the Subscriber intends to continue his Individual Pension Account or to defer payment of benefits under the National Pension System beyond the date of retirement, he shall exercise an option in this regard in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**13. Benefits on retirement under rule 56 of fundamental rules or under the special voluntary retirement scheme.-** (1) A Subscriber,-

(i) who retires or is retired, in advance of the age of Compulsory retirement in accordance with rule 56 of the Fundamental Rules, 1922; or

(ii) who, on being declared surplus to the establishment in which he was serving, opts for Special Voluntary Retirement Scheme of Department of Personnel and Training as notified vide Office Memorandum No. 25013/6/2001-Estt. (A) dated the 28<sup>th</sup> February, 2002 as amended from time to time,

shall be entitled to benefits as admissible under the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015 to the Subscriber retiring on superannuation :

Provided that a Subscriber who on being declared surplus to the establishment in which he was serving, opts for Special Voluntary Retirement Scheme of Department of Personnel and Training, shall also be entitled to the *ex-gratia* admissible under the Scheme in addition to benefits admissible under the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015.

(2) If the Subscriber intends to continue his Individual Pension Account or to defer payment of benefits under National Pension System beyond the date of retirement, he shall exercise an option in this regard in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**14. Resignation from Government service. -** (1) On resignation from a Government service or a post, unless it is allowed to be withdrawn in the public interest by the appointing authority, the lump sum and the annuity out of the Subscriber's accumulated pension corpus shall be paid to him in accordance with the regulations notified by the Authority as admissible in the case of exit of a Subscriber from the National Pension System before superannuation :

Provided that such payment of lump sum withdrawal and annuity shall not be made before the expiry of a period of ninety days from the date on which the resignation becomes effective and the Subscriber is relieved of his duty :

Provided further that if the Subscriber dies before the expiry of a period of ninety days from the date on which the resignation becomes effective, the payment shall be made to the person eligible to receive such payment immediately in accordance with the regulations notified by the Authority as admissible in the case of exit of a Subscriber from the National Pension System before superannuation :

Provided also that such person may, at his option, continue to subscribe to the National Pension System with the same Permanent Retirement Account Number, as a non-Government subscriber in accordance with the regulations notified by the Authority.

(2) Where with proper permission, the resignation has been submitted to take up another appointment, whether temporary or permanent, in the same or any other Department of the Central Government or the State Government and the employees of such Department are covered by the National Pension System, the Subscriber shall continue to subscribe to the National Pension System with the same Permanent Retirement Account Number on the new appointment and shall be deemed to be a member of the National Pension System from the date he joined the Government service on a post to which he was first appointed :

Provided that where the employees of such Department or State Government are not covered by the National Pension System, the Subscriber shall be eligible to receive benefits under National Pension System in accordance with the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber on superannuation :

Provided further that where the employees of such Department or State Government are not covered by the National Pension System, such subscriber may, at his option, continue to subscribe to the National Pension System with the same Permanent Retirement Account Number as a non-Government subscriber, in accordance with the regulations notified by the Authority, in this regard.

(3) The appointing authority may permit a person to withdraw his resignation in the public interest on the following conditions, namely: -

- (a) the person concerned was not a temporary Government servant at the time of acceptance of his resignation;
- (b) the resignation was tendered by the Government servant for some compelling reasons which did not involve any reflection on his integrity, efficiency, or conduct and the request for withdrawal of the resignation has been made as a result of a material change in the circumstances which originally compelled him to tender the resignation;
- (c) during the period intervening between the date on which the resignation became effective and the date from which the request for withdrawal was made, the conduct of the person concerned was in no way improper;
- (d) the period of absence from duty between the date on which the resignation became effective and the date of which the person is allowed to resume duty as a result of permission to withdraw the resignation is not more than ninety days;
- (e) the post, which was vacated by the Government servant on the acceptance of his resignation or any other comparable post, is available.

(4) Request for withdrawal of a resignation shall not be accepted by the appointing authority where a Government servant resigns from service or post with a view to taking up an appointment in or under a private commercial company or a Corporation or Company wholly or substantially owned or controlled by the Government or a Body controlled or financed by the Government.

(5) When an order is passed by the appointing authority allowing a person to withdraw his resignation and to resume duty, the order shall be deemed to include the condonation of interruption in service.

**15. Benefit on absorption in or under a corporation, company or body. –** (1) A Subscriber who has been permitted to be absorbed in a service or post in or under a Corporation or Company wholly or substantially owned or controlled by the Central Government or a State Government or in or under a Body controlled or financed by the Central Government or a State Government, shall be deemed to have retired from service from the date of such absorption and shall be eligible to receive benefits under the National Pension System in accordance with the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber on superannuation:

Provided that the Subscriber shall continue to subscribe to the National Pension System with the same Permanent Retirement Account Number in the new organisation if the same system exists in the new organisation and in that case he shall not receive any benefit under the National Pension System at the time of such absorption but shall receive benefits after exit from the new body or organisation, etc. where Subscriber has been absorbed :

Provided further that where the employees of such autonomous or statutory body or public sector undertaking are not covered by the National Pension System, such subscriber may, at his option, continue to subscribe to the National



Pension System with the same Permanent Retirement Account Number as a non-Government subscriber, in accordance with the regulations notified by the Authority.

(2) The provisions under sub-rule (1) shall also apply to the Subscribers who, on conversion of the Government Department in which they were working, into a public sector undertaking or autonomous body controlled or financed by the Central Government, are absorbed in such public sector undertaking or autonomous body.

(3) The provisions under sub-rule (1) shall also apply to the Subscribers who are permitted to be absorbed in joint sector undertakings, wholly under the joint control of Central Government and State Governments or Union territory Administrations or under the joint control of two or more State Governments or Union territory Administrations.

Explanation (1). - Date of absorption shall be, in case, a Subscriber, -

(i) joins a corporation or company or body on immediate absorption basis, the date on which he actually joins that corporation or company or body;

(ii) initially joins a corporation or company or body on foreign service terms, the date from which his unqualified resignation is accepted by the Government; and

(iii) joins a corporation or company or body on conversion of a Government department into a public sector undertaking or autonomous body, the date from which his option to be absorbed in that corporation or company or body is accepted by the Government.

Explanation (2). - For the purposes of this rule, body means autonomous body or statutory body.

**16. Entitlement on retirement on invalidation.** - (1) The case of a Subscriber acquiring a disability, where the provisions of section 20 of the Rights of Persons with Disabilities Act, 2016 (49 of 2016) are applicable, shall be governed by the provisions of the said section:

Provided that such Subscriber shall produce a disability certificate from the competent authority as prescribed under the Rights of Persons with Disabilities Rules, 2017.

(2) If a Subscriber, in a case where the provisions of section 20 of the Rights of Persons with Disabilities Act, 2016 (49 of 2016) are not applicable, intends to retire from the service on account of any bodily or mental infirmity which permanently incapacitates him for the service, he may apply to the Head of Department for benefits on retirement on invalidation :

Provided that an application for benefits on retirement on invalidation submitted by the spouse of the Subscriber failing which by a member of the family of the Subscriber may also be accepted, if the Head of Department is satisfied that the Subscriber himself is not in a position to submit such application on account of the bodily or mental infirmity :

Provided further that where a Subscriber, who has acquired a disability and in whose case the provisions of section 20 of the Rights of Persons with Disabilities Act, 2016 (49 of 2016) are applicable, intends to retire under this rule, the Subscriber shall be advised that he has the option of continuing in service with the same pay matrix and service benefits which he is otherwise entitled to. In case, the Subscriber does not withdraw his request for retirement under this rule, his request may be processed in accordance with the provisions of this rule.

(3) The Head of Office or the Head of Department shall, on receipt of an application under sub-rule (2), within fifteen days of the receipt of such application, request the concerned authority for examination of the Subscriber within thirty days of receipt of such request, by the following medical authority, -

(a) a Medical Board in the case of a Gazetted Government servant and of a non-Gazetted Government servant whose pay, as defined in sub-rule (21) of rule 9 of the Fundamental Rules, exceeds fifty-four thousand rupees per mensem ;

(b) Civil Surgeon or a District Medical Officer or Medical Officer of equivalent status in other cases.

The medical authority shall also be supplied by the Head of the Office or Department in which the Subscriber is employed with a statement of what appears from official records to be the age of the Subscriber, and if a service book is being maintained for the Subscriber, the age recorded therein should be reported. A copy of the letter requesting for examination by the medical authority shall be endorsed to the Subscriber.

(4) The Subscriber shall appear before the concerned medical authority for medical examination on the date fixed by that authority. The medical authority shall examine the Subscriber to ascertain whether or not the Subscriber is fit for further service or whether he is fit for further service of less laborious character than that which he had been doing.

(5) No medical certificate of incapacity for service may be granted unless the medical authority has received a request from the Head of his Office or *Head of Department* for medical examination of the Subscriber.

(6) A lady doctor shall be included as a member of the Medical Board when a woman candidate is to be examined.

(7) Where the medical authority referred to in sub-rule (3), has found a Subscriber mentioned in sub-rule (2) not fit for further service or has found him fit for further service of less laborious character than that which he had been doing, it shall issue a Medical Certificate in Form 3. If the Subscriber is found to be unfit for further service, he may be granted benefits on retirement on invalidation.

(8) If the Subscriber, has been found to be fit for further service of less laborious character than that which he had been doing, he shall, provided he is willing to be so employed, be employed on lower post and if there be no means of employing him even on a lower post, he may be granted benefits on retirement on invalidation.

(9) Where a Subscriber, who had exercised option or in whose case the default option under rule 10 is for availing benefits under the Central Civil Service (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939, and in whose case the provision of section 20 of the Rights of Persons with Disabilities Act, 2016 (49 of 2016) are not applicable, retires on account of any bodily or mental infirmity which permanently incapacitates him for the service, further action will be taken by the Head of Office for disbursement of benefits in accordance with the Central Civil Services (Pension) Rules, 1972.

(10) If the Subscriber, avails the benefits under the Central Civil Services (Pension) Rules, 1972 in accordance with sub-rule (9), the individual pension account of the Subscriber shall be closed and the Government contribution and returns thereon in the accumulated pension corpus of the Subscriber shall be transferred to Government account. The remaining accumulated pension corpus shall be paid to the Subscriber in lump sum.

(11) Where a Subscriber, who had exercised option or in whose case the default option under rule 10 of these rules is for availing benefits under the National Pension System and in whose case the provision of section 20 of the Rights of Persons with Disabilities Act, 2016 (49 of 2016) are not applicable, retires from the service on account of any bodily or mental infirmity which permanently incapacitates him for the service, he may be granted benefits in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of a Subscriber on superannuation.

(12) If a Subscriber, who has become eligible to avail the benefits under the National Pension System in accordance with sub-rule (11), intends to continue his Individual Pension Account or to defer payment of benefits under the National Pension System beyond the date of retirement, he shall exercise an option in this regard in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**17. Entitlement on boarding out from service on account of disablement.** - (1) Where a Subscriber, who had exercised option or in whose case the default option under rule 10 is for availing benefits under the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939, is boarded out on account of disablement attributable to Government service, further action will be taken by the Head of Office for disbursement of benefits in accordance with the Central Civil Services (Extraordinary Pension) Rules, 1939.

(2) If the Subscriber avails the benefits under the Central Civil Services (Extraordinary Pension) Rules, 1939 in accordance with sub-rule (1), the individual pension account of the Subscriber shall be closed and the Government contribution and returns thereon in the accumulated pension corpus of the Subscriber shall be transferred to Government account. The remaining accumulated pension corpus shall be paid to the Subscriber in lump sum.

(3) Where a Subscriber, who had exercised option or in whose case the default option under rule 10 of these rules is for availing benefits under the National Pension System, is boarded out on account of disablement attributable to Government service, he may be granted benefits in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of a Subscriber on superannuation.

(4) If a Subscriber, who has become eligible to avail the benefits under the National Pension System in accordance with sub-rule (3) intends to continue his Individual Pension Account or to defer payment of benefits under the National Pension System beyond the date of retirement, he shall exercise an option in this regard in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**18. Effect of compulsory retirement or dismissal or removal from Government service.** - (1) Where a Subscriber, is compulsorily retired from service as a penalty or is dismissed or removed from Government service, the lump sum and the annuity out of his accumulated pension corpus shall be paid to him in accordance with the regulations notified by the Authority payable to the Subscriber as admissible in the case of exit of a Subscriber from the National Pension System before superannuation :

Provided that the Subscriber, at his option, may continue to subscribe to the National Pension System with the same Permanent Retirement Account Number as a non-Government subscriber, in accordance with the regulations notified by the Authority.

(2) Sub-rule (1) shall be without prejudice to any action being taken in such cases in respect of gratuity and other retirement benefits not covered by these rules and those benefits shall be regulated in accordance with the rules as applicable to such benefits.

**19. Effect of departmental or judicial proceedings pending on retirement.-** (1) Departmental or judicial proceedings, which were instituted while the Subscriber was in service but are not concluded before retirement or the judicial proceedings instituted after retirement of the Subscriber, shall not affect the benefits payable to the Subscriber out of his accumulated pension corpus and the lump sum and the annuity out of his accumulated pension corpus shall be paid to him in accordance with the regulations notified by the Authority as admissible in the case of exit of a Subscriber from the National Pension System on superannuation.

(2) The provision under sub-rule (1) shall be without prejudice to any action being taken in such cases in respect of gratuity and other retirement benefits not covered by these rules and those benefits shall be regulated in accordance with the rules as applicable to such benefits.

**20. Entitlement for family on death of a Subscriber. -** (1) On death of, -

(a) a Subscriber, who had exercised option or in whose case the default option under rule 10 is for availing benefits under the Central Civil Services (Pension) Rules, 1972 or Central Civil Services (Extraordinary Pension) Rules, 1939; or

(b) a retired Subscriber, who was in receipt of an Invalid Pension under the Central Civil Services (Pension) Rules, 1972 in terms of rule 16 or a disability pension under the Central Civil Services (Extraordinary Pension) Rules, 1939 in terms of rule 17 ,

further action will be taken by the Head of Office for disbursement of benefits in accordance with the Central Civil Services (Pension) Rules, 1972 :

Provided that if the death is attributable to Government service, further action will be taken by the Head of Office for disbursement of benefits in accordance with the Central Civil Services (Extraordinary Pension) Rules, 1939 subject to fulfillment of all the conditions for grant of benefits under those rules.

(2) If on death of the Subscriber, benefits are payable to the family under the Central Civil Services (Extraordinary Pension) Rules, 1939 or the Central Civil Services (Pension) Rules, 1972 in accordance with sub-rule (1), the Government contribution and returns thereon in the accumulated pension corpus of the Subscriber shall be transferred to Government account. The remaining accumulated pension corpus shall be paid in lump sum to the person(s) in whose favour a nomination has been made under the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015. If there is no such nomination or if the nomination made does not subsist, the amount of remaining accumulated pension corpus shall be paid to the legal heir(s).

(3) In the case of death of a Subscriber who had exercised option or in whose case the default option under rule 10 is for availing benefits under the National Pension System, such benefits may be granted in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**21. Preparation of list of Subscriber due for retirement. -** (1) Every Head of Department shall have a list prepared every three months, that is, on the 1st January, 1st April, 1st July and 1st October each year of all Subscribers who are due to retire within the next twelve to fifteen months from that date.

(2) A copy of every such list, as specified in sub-rule (1), shall be supplied to the Pay and Accounts Officer concerned not later than the 31st January, 30th April, 31st July or the 31st October, as the case may be, of that year.

(3) In the case of a Subscriber retiring for reasons other than by way of superannuation, the Head of Office shall promptly inform the Drawing and Disbursing Officer and the Pay and Accounts Officer concerned, as soon as the fact of such retirement becomes known to him.

(4) A copy of intimation sent by the Head of Office to the Pay and Accounts Officer under sub-rule (3) shall also be endorsed to the Directorate of Estates, if the Subscriber concerned is an allottee of Government accommodation.

**22. Intimation to the Directorate of Estates regarding issue of "no demand certificate".-** (1) The Head of Office shall write to the Directorate of Estates at least one year before the anticipated date of retirement of the Subscriber who was or is in occupation of a Government accommodation (hereinafter referred to as allottee) for issuing a 'No demand certificate' in respect of the period preceding eight months of the retirement of the allottee.

(2) On receipt of the intimation under sub-rule (1), the Directorate of Estates shall take further action as required.

**23. Submission of claim for benefits under the National Pension System on superannuation.** - (1) A Subscriber shall have the option for submission of claim for benefit under the National Pension System through a mode, as specified by the Authority from time to time.

(2) Every Subscriber shall, six months before the date on which he is due to retire on superannuation, or on the date on which he proceeds on leave preparatory to retirement, whichever is earlier, submit to the Head of Office, duly filled withdrawal Form prescribed by the Authority along with the documents mentioned in the withdrawal form. In other cases of retirement or exit from the National Pension System, the Subscriber shall submit to the Head of Office, duly filled withdrawal Form prescribed by the Authority along with the documents mentioned in the withdrawal Form immediately after issue of orders of the competent authority for such retirement or exit. Where the Subscriber has submitted the claim through online mode, he shall submit a *signed* copy of the print-out of the said withdrawal Form along with the documents mentioned in the withdrawal Form.

(3) The National Pension System shall generate claim IDs and inform nodal officers, i.e. the Pay and Accounts Officers or the Cheque Drawing and Disbursing Officers six months before the date of retirement for those Subscribers who shall retire on superannuation in the next six months .

**24. Completion and forwarding of papers for benefits under National Pension System.** - (1) The Head of office shall complete the papers on his part and forward the same to the Pay and Accounts Officer through the Drawing and Disbursing Officer with a covering letter in the Form mentioned below, namely : -

Mode of retirement or exit.	Form of covering letter.
Superannuation or Voluntary Retirement or Premature retirement under rule 56(j) of Fundamental Rules or Special Voluntary Retirement Scheme of Department of Personnel and Training.	Form 4-A.
Technical Resignation <i>or</i> Absorption in an autonomous body or Public Sector Undertaking.	Form 4-B.
Resignation or Compulsory Retirement as a measure of penalty or Dismissal or Removal from service.	Form 4-C.
Retirement on Invalidation or Disablement.	Form 4-D.
Death during service.	Form 4-E.

(2) In the case of a Subscriber retiring on superannuation, the Head of Office shall forward the complete papers to the Pay and Accounts Officer through the Drawing and Disbursing Officer not later than four months before the date of retirement of the Subscriber and in other cases, not later than one month after the date of retirement or exit of the Subscriber. The Head of Office shall retain a copy of each of the forms and documents referred to in sub-rule (1) for his record.

(3) After processing the withdrawal request in the online system of Central Recordkeeping Agency in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015, the Pay and Accounts Officer shall forward the documents referred to in sub-rule (1) and sub-rule (2) to the Central Recordkeeping Agency not later than one month before the date of retirement of Subscriber.

(4) In case the Subscriber intends to continue his Individual Pension Account or to defer payment of benefits under the National Pension System beyond the date of superannuation or exit, he shall exercise an option in this regard and send it to the Pay and Accounts Officer through the Drawing and Disbursing Officer not later than fifteen days before the date of superannuation. Such option shall be processed by the Pay and Accounts Officer in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015.

**25. Subscribers on deputation.** - (1) In the case of Subscriber who retires while on deputation to another Central Government Department, action to authorise benefits in accordance with the provisions of this rule shall be taken by the Head of Office of the borrowing Department.

(2) In the case of a Subscriber who retires from service, while on deputation to a State Government or while on foreign service, action to authorise benefits in accordance with the provisions of this rule shall be taken by the Head of Office or the Cadre authority which sanctioned deputation to the State Government or to foreign service.

**26. Date of retirement to be notified.** - When a Subscriber retires from service, -

- (a) a notification in the Official Gazette in the case of a Gazetted Subscriber; and
- (b) an office order in the case of a non-Gazetted Subscriber,

shall be issued specifying the date of retirement within a week of such date and a copy of every such notification or office order, as the case may be, shall be forwarded immediately to the Pay and Accounts Officer.

**27. Interpretation.** - Where any doubt arises as to the interpretation of these rules, it shall be referred to the Government in the Ministry of Personnel, Public Grievances and Pensions for decision.

**28. Power to relax.** - Where any Ministry or Department of the Government is satisfied that the operation of any of these rules causes undue hardship in any particular case, the Ministry or Department, as the case may be, may, by order for reasons to be recorded in writing, dispense with or relax the requirements of that rule to such extent and subject to such exceptions and conditions as it may consider necessary for dealing with the case in a just and equitable manner :

Provided that no such order shall be made except with the concurrence of the Ministry of Personnel, Public Grievances and Pensions.

**29. Power of Central Government to provide for residual matters.** - (1) Any related issues not specifically covered in these rules, shall be decided in terms of the relevant provisions in this regard contained in the Central Civil Services (Pension) Rules, Fundamental Rules, Supplementary Rules or any general or special order issued by the Government provided it is not repugnant to or inconsistent with the provisions of these rules.

(2) The Central Government may issue orders or instructions to regulate any matter for which there is no provision in the rules made or deemed to have been made under these rules and, until such rules are made, such matters shall be regulated as per orders or instructions issued from time to time.

**30. Repeal and saving.** - On the commencement of these rules, every order, instruction or Office Memorandum in force immediately before such commencement shall, in so far as it provides for any of the matters contained in these rules, cease to operate. Anything done or any action taken under those order, instruction or Office Memorandum shall be deemed to have been taken under the corresponding provisions of these rules.

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#### Form 1

### OPTION TO AVAIL BENEFITS IN CASE OF DEATH OR DISCHARGE ON INVALIDATION OR DISABILITY OF GOVERNMENT SERVANT / SUBSCRIBER DURING SERVICE

[See rule 10 ]

\* I, ....., hereby exercise option that in the event of my discharge from service on the account of disability or retirement from service on account of invalidation or Death during service, benefits under CCS(Pension) Rules, 1972 or CCS(Extraordinary Pension) Rules, 1939 as the case may be, may be paid to me or my family.

OR

\* I, ....., hereby exercise option that in the event of my discharge from service on the account of disability or retirement from service on account of invalidation or Death during service, benefits may be paid to me or my family, as the case may be, based on the accumulated pension corpus in the Individual Pension Account under the National Pension System in accordance with the CCS(Implementation of National Pension System) Rules, 2021.

Signature of Government servant / Subscriber

Name-----

Designation-----

Office in which employed-----

Telephone No.-----

Place and date:

This option supersedes any other option made by me earlier.

\* Completely strike out the benefits for which option is not intended to be made.

**(To be filled in by the Head of Office or authorised Gazetted Officer)**

Received the option dated ....., under CCS( Implementation of National Pension System) Rules, 2021  
made by Shri/Smt./Kumari....., Designation.....  
Office.....

Entry of receipt of option has been made in page ..... Volume.....of Service Book.

Signature,

Name and Designation of Head of Office or authorized Gazetted Officer with seal

Date of receipt.....

The receiving Officer will fill the above information and return a duly signed copy of the complete Form to the Government servant who should keep it in safe custody so that it may come into the possession of the beneficiaries in the event of his/her death/ invalidation.

**FORM 2****Details of Family**

[See rule 10(3)]

**Important**

1. The original Form submitted by the Government servant / Subscriber is to be retained. All additions or alterations are to be communicated by the Government servant/retired Government servant / Subscriber alongwith the supporting documents and the changes shall be recorded in this Form under the signature of Head of Office in Col 7. No new Form will substitute the original Form. However, the retiring Subscriber should submit the details of family afresh at the time of retirement.
2. The details of spouse, all children and parents (whether eligible for family pension or not) and disabled siblings (brothers and sisters) may be given.
3. The Head of Office shall indicate the date of receipt of communication regarding addition or alteration in the family in the 'Remarks' column. The fact regarding disability or change of marital status of a family member should also be indicated in the 'Remarks' column.
4. Wife and husband shall include judicially separated wife and husband.
5. The retired Government servant shall attach the details of change in family structure after retirement in the proforma prescribed under Dept. of P.& P.W., O.M No. 1 (23)-P.&P. W/91-E, dated the 4<sup>th</sup> November, 1992.
6. Copies of birth certificates to be attached. Copies of any other relevant certificates, if available, should be attached.

Name of the Government servant / Subscriber		Designation		Nationality	
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**Details of family members:**

S.N.	Name (Please see notes below before filling)	Date of birth (DD/MM/YYYY)	Aadhaar no.* (optional)	Relationship with Govt. servant/retired Government servant / Subscriber	Marital status	Remarks	Dated signature of Head of Office
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
1.							

2.							
3.							
4.							
5.							
6.							
7.							
8.							

I hereby undertake to keep the above particulars up to date by notifying to the Head of Office any addition or alteration.

E-mail:(Optional)

Place:



Mobile:(Optional)

Date

(Signature)

*\*Providing Aadhaar No. is optional. However, if it is provided, consent to link it to Bank Account and also for authentication of identity from UIDAI for pension related purpose only, is presumed.*

**FORM 03**

( See rule 16 )

**Form of Medical Certificate**

Certified that I/(We) have carefully examined .....(name of the Government servant / Subscriber) son of ....., a .....(Designation) in the .....

I/(We) consider .....to be completely and permanently incapacitated for further service of any kind in the Department to which he belongs to consequence of .....( here state disease or cause).

(If the incapacity does not appear to be complete and permanent, the certificate should be modified accordingly and the following addition should be made.)

“ I am / we are of opinion that ..... is fit for further service of a less laborious character than that which he had been doing/may, after resting for ..... months, be fit for further service of less laborious character than that which he had been doing.”

Place.....

Dated the .....

Medical Authority

FORM 4- A

[See rule 24]

**[Form of letter to the Accounts Officer forwarding the withdrawal papers of a Subscriber under the National Pension System on superannuation or voluntary retirement or Premature retirement or Special Voluntary retirement]**

No .....

Government of India

Ministry / Department/Office of

Dated the

To,

The Pay and Accounts Officer/Accountant-General

(Through - the Drawing and Disbursing Officer).

Sub: Processing of claim for benefits under the National Pension System on retirement on superannuation or voluntary retirement or Premature retirement or Special Voluntary retirement- case of Shri/Smt./Km..... (PRAN .....

Sir,

I am directed to say that:

\* Shri/Smt./Km.....(name and designation), PRAN..... of this Ministry/ Department/office is due for retirement on superannuation on .....

OR

\* A notice for voluntary retirement of Shri /Smt. /Km..... (name and designation), PRAN..... of this Ministry or Department or office with effect from .....under rule 12 of the Central Civil Services (Implementation of National Pension System) Rules, 2021 or rule 56 (k) of the Fundamental Rules or Special Voluntary Retirement Scheme of Department of Personnel and Training has been accepted by the competent authority. A copy of the order issued in this regard is enclosed.

OR

\* An order for retirement of Shri /Smt. /Km..... (name and designation), PRAN..... of this Ministry or Department or office under rule 56 (j) of the Fundamental Rules with effect from .....has been issued by the competent authority. A copy of the order issued in this regard is enclosed.

2. The following documents (in physical form or print-out of forms submitted online) prescribed by the Pension Fund Regulatory and Development Authority for release of terminal benefits under the National Pension System are enclosed:

- 1.
- 2.
- 3.
- 4.

3. On retirement from service, in accordance with rule 11/rule 12/rule 13, Shri .....is entitled to benefits under the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension



System) Regulations, 2015 as admissible to the Subscribers retiring on superannuation. It is requested that the case of the Government servant / Subscriber for release of terminal benefits under the National Pension System may be processed accordingly.

Yours faithfully,

Head of Office

\* Strike out if not applicable.

FORM 4-B

[See rule 24]

[Form of letter to the Accounts Officer forwarding the withdrawal papers of a Subscriber under the National Pension System on absorption in an autonomous body or Public Sector Undertaking]

No .....

Government of India

Ministry / Department/Office of

Dated the

To

The Pay and Accounts Officer/Accountant-General

(Through - the Drawing and Disbursing Officer).

Sub: Processing of claim for benefits under the National Pension System on absorption in an autonomous body or Public Sector Undertaking or resigned on technical ground - case of Shri /Smt. /Km..... (PRAN .....

Sir,

I am directed to say that Shri/Smt./Km.....(name and designation), PRAN....., of this Ministry or Department or office is deemed to have retired from Government service w.e.f. .... on absorption in ....., an autonomous body or Public sector undertaking or resigned on technical ground. A copy of order of his relieving from this Ministry or Department or office is enclosed.

2 \* Since the scheme of the National Pension System exists in the new organisation, the Subscriber shall continue to subscribe to the National Pension System with the same Permanent Retirement Account Number in the new organisation. He shall not receive any benefit under the National Pension System at the time of such absorption but shall receive benefits after exit from the new body or organisation, etc. where Subscriber has been absorbed.

OR

\* Since the scheme of the National Pension System does not exist in the new organisation, in accordance with rule 15 of the Central Civil Services (Implementation of National Pension System) Rules, 2021, he or she shall be eligible to receive benefits under National Pension System in accordance with the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber on superannuation. The following documents (in physical form or print-out of forms submitted online) prescribed by the Pension Fund Regulatory and Development Authority for release of terminal benefits under the National Pension System are enclosed:

- 1.
- 2.
- 3.
- 4.

3. It is requested that the case of the Subscriber may be processed for transfer of the NPS Account to .....or release of terminal benefits under the National Pension System in accordance with the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber on superannuation.

Yours faithfully,

Head of Office

\* Strike out if not applicable.

FORM 4- C

[See rule 24 ]

**[Form of letter to the Accounts Officer forwarding the withdrawal papers of a Subscriber under the National Pension System on resignation or compulsory retirement as a measure of penalty or dismissal or removal from service]**

No .....

Government of India

Ministry / Department/Office of

Dated the

To ,

The Pay and Accounts Officer/Accountant-General

(Through - the Drawing and Disbursing Officer).

Sub: Processing of claim for benefits under the National Pension System on resignation or compulsory retirement as a measure of penalty or dismissal or removal from service of Shri /Smt. /Km.....PRAN .....)

Sir,

I am directed to say that:

\* A penalty of compulsory retirement has been imposed on Shri/Smt./Km.....(name and designation), PRAN..... of this Ministry or Department or office vide Order No. ....dated ..... (Copy enclosed). Accordingly, Shri/Smt./Km.....stands compulsorily retired from Government service with effect from .....

OR

\* A penalty of dismissal or removal from service has been imposed on Shri/Smt./Km.....(name and designation), PRAN..... of this Ministry or Department or office vide Order No. ....dated .....(Copy enclosed). Accordingly, Shri/Smt./Km.....stands dismissed/ removed from Government service with effect from .....

OR

\* Shri/Smt./Km.....(name and designation), PRAN..... of this Ministry or Department or office has resigned from Government service with effect from ..... A copy of the Order No. ....dated ..... for acceptance of resignation is enclosed.

2. In terms of rule 17 or rule 14 of the Central Civil Services ( Implementation of National Pension System) Rules, 2021, the Subscriber is eligible for benefits under the National Pension System in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber before superannuation.

3. The following claim papers (in physical form or print-out of forms submitted online) prescribed by the Pension Fund Regulatory and Development Authority for release of terminal benefits under the National Pension System are enclosed:

- 1.
- 2.
- 3.
- 4.

4. It is requested that the case of the Subscriber for release of terminal benefits under the National Pension System may be processed in accordance with the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber before superannuation.

Yours faithfully,

Head of Office

\* Strike out if not applicable.

FORM 4-D

[See rule 24 ]

**[Form of letter to the Accounts Officer forwarding the withdrawal papers of a Subscriber under the National Pension System on retirement on invalidation or disablement]**

No .....

Government of India

Ministry /Department/Office of

Dated the

To

The Pay and Accounts Officer/Accountant-General

(Through - the Drawing and Disbursing Officer).

Sub: Processing of claim for benefits under the National Pension System on retirement on invalidation or disablement of Shri /Smt. /Km..... (PRAN .....

Sir,

\* I am directed to say that Shri/Smt./Km.....(name and designation), PRAN ..... of this Ministry or Department or office retired on invalidation (not attributable to Government service) or disablement (attributable to Government service) on ..... As per the option exercised by Shri/Smt./Km...../ default option under rule 10 of the Central Civil Services ( Implementation of National Pension System) Rules, 2021, the Subscriber is eligible for benefits under the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939. A copy of the option exercised by the Government servant in Form -1 is enclosed. Further action for disbursement of benefits in accordance with the Central Civil Services (Pension) Rules, 1972 or Central Civil Services (Extraordinary Pension) Rules, 1939 is being taken accordingly. In accordance with rule 16 or rule 17 of the Central Civil Services (Implementation of National Pension System) Rules, 2021, the Government contribution and returns thereon in the accumulated pension corpus of the Subscriber shall be transferred to Government account. The remaining accumulated pension corpus shall be paid in lump sum to the Subscriber.

OR

\* I am directed to say that Shri/Smt./Km.....(name and designation), PRAN ..... of this Ministry or Department or office retired on invalidation (not attributable to Government service) or disablement (attributable to Government service) on ..... . As per the option exercised by Shri/Smt./Km...../ default option under Rule 10 of the Central Civil Services (Implementation of National Pension System) Rules, 2021 and in accordance with rule 16 or rule 17, the Subscriber is eligible for benefits under the National Pension System in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015 as admissible in the case of exit of Subscriber on superannuation. A copy of the option exercised by Shri/Smt./Km.....in Form -1 is enclosed. The following documents (in physical form or print-out of forms submitted online) prescribed by the Pension Fund Regulatory and Development Authority for release of terminal benefits under the National Pension System, as submitted by the Shri/Smt./Km..... are also enclosed:

- 1.
- 2.
- 3.
- 4.

2. It is requested that the case of Shri/Smt./Km.....for release of terminal benefits under the National Pension System may be processed in accordance with the option exercised by him or her and the provisions of the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015.

Yours faithfully,

Head of Office

\* Strike out if not applicable.

FORM 4- E

[See rule 24]

**[Form of letter to the Accounts Officer forwarding the withdrawal papers of a Subscriber under the National Pension System on death in service]**

No .....

Government of India

Ministry / Department/Office of

Dated the

To,

The Pay and Accounts Officer/Accountant-General

(Through - the Drawing and Disbursing Officer).

Sub: Processing of claim for benefits under the National Pension System on death in service- case of Shri /Smt. /Km..... (PRAN .....

Sir,

\* I am directed to say that Shri/Smt./Km.....(name and designation), PRAN..... of this Ministry or Department or office died on ..... . The death of Shri/Smt./Km..... is not attributable to Government service or attributable to Government service. As per the option exercised by Shri/Smt./Km....., default option under rule 10 of the Central Civil Services ( Implementation of National Pension System) Rules, 2021, his family is eligible for benefits under the Central Civil Services (Pension) Rules, 1972 or the Central Civil Services (Extraordinary Pension) Rules, 1939. Further action for disbursement of benefits to the family in accordance with the Central Civil Services (Pension) Rules, 1972 or Central Civil Services (Extraordinary Pension) Rules, 1939 is being taken accordingly. In accordance with rule 20 of the Central Civil Services (Implementation of National Pension System) Rules, 2021, the Government contribution and returns thereon in the accumulated pension corpus of the Subscriber shall be transferred to Government account. The remaining accumulated pension corpus shall be paid in lump sum to the person(s) in whose favour a nomination has been made under the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015. If there is no such nomination or if the nomination made does not subsist, the amount of remaining accumulated pension corpus shall be paid to the legal heir(s). A copy of the option exercised by the Government servant / Subscriber in Form -1 is enclosed.

OR

\* I am directed to say that Shri/Smt./Km.....(name and designation), PRAN..... of this Ministry or Department or office died on ..... . As per the option exercised by Shri/Smt./Km....., default option under rule 10 and in terms of rule 20 of the Central Civil Services ( Implementation of National Pension System) Rules, 2021, his family is eligible for benefits under the National Pension System in accordance with the Pension Fund Regulatory and Development Authority (Exits and Withdrawals under National Pension System) Regulations, 2015. A copy of the option exercised by the Subscriber in Form -1 is enclosed. The following documents (in physical form or print-out of forms submitted online) specified by the Pension Fund Regulatory and Development Authority for release of terminal benefits under the National Pension System, as submitted by the eligible member of the family are enclosed:

- 1.
- 2.
- 3.
- 4.

2. It is requested that the case of the Subscriber for release of terminal benefits under the National Pension System may be processed in accordance with the option exercised by him or her and the provisions of the Pension Fund Regulatory and Development Authority ( Exits and Withdrawals under National Pension System) Regulations, 2015.

Yours faithfully,

Head of Office

\* Strike out if not applicable.

[F. No. 57/02/2018-P&PW(B)]  
SANJIV NARAIN MATHUR, Jt. Secy.